USA Swimming
ATHLETE SELECTION PROCEDURES
(Pool)
2016 Olympic Games
January 25, 2016

DEFINITIONS

Available Swimmer. “Available Swimmer” means a swimmer who (i) qualifies to be Nominated to the Team in an Event according to these Selection Procedures and has complied with all eligibility requirements set forth in Sections 1.1-1.2 of these Selection Procedures; (ii) satisfies the applicable OQT or OST requirement for the Event in which he/she is an Available Swimmer; (iii) certifies to USA Swimming that he/she remains available to swim through the completion of the 2016 Olympic Games; and (iv) indicates in writing that he or she will accept the invitation to be Nominated to the Team when that invitation is offered by USA Swimming and to swim in the Event for which he/she qualifies in the Olympic Games (except as otherwise provided herein). If a swimmer does not satisfy these requirements, then he/she is not considered an Available Swimmer. For purposes of these Selection Procedures, the term Available Swimmer is meant to be used in connection with the initial Nominations to the Team, but is not meant to be used (except to the extent the term Available Swimmer is used in the definition of the term Eligible Replacement Swimmer) for replacements to the Team (the term “Eligible Replacement Swimmer” will apply for replacements to the Team).

Eligible Replacement Swimmer. Eligible Replacement Swimmer means an athlete who (i) is not initially Nominated to the Team in the Event for which he/she wishes to be considered as an Eligible Replacement Swimmer; (ii) is the Available Swimmer with the next best finish in the Finals of the Qualifying Competition in the Event(s) for which he/she wishes to be considered as an Eligible Replacement Swimmer; (iii) indicates in writing to USA Swimming by 11:59 a.m. CDT on July 4, 2016 that he/she wishes to be considered as an Eligible Replacement Swimmer under these Selection Procedures; (iv) satisfies the applicable OQT or OST requirement for the Event in which he/she would like to be considered as an Eligible Replacement Swimmer; (v) between his/her last Event at the Qualifying Competition and the date he/she is Nominated to the Team (if, in fact, he/she is so nominated), maintains a training regimen to ensure peak competitive fitness; and (vi) provides regular documentation of such training regimen to the National Team Director, along with certification from his/her personal coach that he/she has completed the training regimen described in the documentation. In the event an Eligible Replacement Swimmer is already nominated to the Team in a different Event, he/she is exempt from the requirements set forth in (iii) and (vi) above but remains subject to all other requirements.

Event. “Event” refers to those individual pool competition events contested at the 2016 Olympic Games.
FINA Points Table, 2016. FINA Points Table, 2016 means the applicable FINA Points Table (i.e., men or women) updated as of February 2016, and found on the website www.fina.org.

Finals. "Finals" means the Final heat that is conducted in each Qualifying Competition Event from which the Qualifying Competition finish order is determined. The results of the Finals will be used to determine the finish order for the top eight places. The results of the semifinals and preliminary heats of the Qualifying Competition will be used to determine finish order immediately lower than those in the Finals (i.e., 9th place and below).

Head Coach. Unless otherwise indicated, "Head Coach" refers to the head coach of the Team for the applicable gender (i.e. the Men's Team Head Coach or Women's Team Head Coach).

Modified World Ranking. See “World Ranking”, below.

National Team Director. "National Team Director" refers to the USA Swimming National Team Director, or his/her designee.

Nominate/Nominated/ Nomination to the Team. "Nominate/Nominated/ Nomination to the Team" refers to the Team nominated by USA Swimming to the USOC by 11:59 a.m. CDT on July 4, 2016, plus any replacements that may be added to the Team pursuant to Section 4 of these Selection Procedures.

Olympic Qualifying Time (OQT). An Olympic Qualifying Time (OQT) is a time standard developed by FINA for those wishing to compete in individual Events at the Olympic Games. OQTs can be achieved in competitions approved for that purpose by FINA from March 1, 2015 through July 3, 2016. FINA Olympic Qualification Time Standards are found in Attachment A.

Olympic Selection Time (OST). An Olympic Selection Time (OST) is an easier time standard developed by FINA for those wishing to compete in relays and, subject to certain limitations and restrictions, individual Events at the Olympic Games. OSTs can be achieved in competitions approved for that purpose by FINA from March 1, 2015 through July 3, 2016. FINA Olympic Qualification Time Standards are found in Attachment A.

Qualifying Competition. "Qualifying Competition" means the 2016 U.S. Olympic Team Trials – Swimming, held Sunday, June 26, through Sunday, July 3, 2016, in Omaha, Nebraska, at the CenturyLink Center Omaha.

Relay-Only Swimmer. A Relay-Only Swimmer means a swimmer who has qualified for the Team (prior to implementation of FINA limits on the number of Relay-Only Swimmers as provided in Section 1.3.6 of these Selection Procedures), but has not qualified to swim in an individual Event.

Team. "Team" means the 2016 Olympic Games Team Nominated to the USOC on July 4, 2016, plus any changes or replacements made pursuant to these Selection Procedures.
World Ranking. World Ranking means the World Ranking list printed from the fina.org website at approximately 8:00 a.m. CDT on June 26, 2016 and covering the period of March 1, 2015 through and including June 20, 2016.

Modified World Ranking. “Modified World Ranking” means the World Ranking list as modified by integrating all times from the Finals of the Qualifying Competition. An athlete’s Modified World Ranking shall be determined by his/her time from the Finals of the Qualifying Competition. The results of the semifinals and preliminary heats of the Qualifying Competition will be used to determine finish order immediately lower than those in the Finals (i.e., 9th place and below).

1. SELECTION SYSTEM

1.1. Provide the minimum eligibility requirements for an athlete to be considered for Nomination to the Team:

1.1.1 In order to be considered for Nomination to the Team, an athlete must, at the beginning of the Qualifying Competition and at the time of Nomination to the Team:

(a) be a registered athlete member of USA Swimming in good standing;

(b) be a citizen of the United States; and

(c) be eligible to represent the United States in the Olympic Games.

In order to be considered for Nomination to the Team, an athlete must, at the time of Nomination to the Team, be an Available Swimmer.

1.1.2 Nationality/Passport requirements:

Athlete must hold a valid U.S. passport that will not expire for six months after the conclusion of the Games.

1.1.3 Minimum International Olympic Committee (IOC), standards for participation:

Any competitor in the Olympic Games must be a national of the country of the National Olympic Committee (NOC) which is entering such competitor. For additional information regarding an athlete who is a national of two or more countries, has changed his or her nationality or acquired a new nationality, refer to the IOC Charter (Rule 41).

1.1.4 Minimum International Federation (IF) standards for participation:

(a) To ensure Nomination to the Team, all athletes qualifying to swim in an individual Event at the Olympic Games must equal or better the OQT standard set by FINA for that Event during the applicable time period established by
FINA (March 1, 2015-July 3, 2016) in a competition approved for that purpose by FINA (competitions can be found at fina.org). Per FINA rules, USA Swimming will be allowed to enter two athletes in an Event at the Olympic Games only if both athletes have equaled or bettered the OQT in that Event in a competition approved for that purpose by FINA.

(b) An athlete may also qualify to be Nominated to the Team by equaling or bettering the OST. Per FINA rules, any swimmer eligible to be Nominated to the Team who has achieved an OST, but not an OQT, must receive an invitation from FINA through the USOC in order to compete in the Olympic Games. If a swimmer does not receive an invitation from FINA to compete in the Olympic Games on or before July 11, 2016 then that swimmer will not be eligible for Nomination to the Team in that individual Event. See Section 1.3.5 for the requirements relating to OQTs and OSTs. See Attachment A for OQT and OST standards for each Event.

1.1.5. Other requirements (if any):

(a) An athlete must qualify for the Qualifying Competition, as described in Section 1.2, and compete in the Qualifying Competition;

(b) Each swimmer that has been Nominated to the Team must sign and return to USA Swimming, by 11:59 p.m. CDT on July 4, 2016, and abide by, the USA Swimming and USOC applicable competition forms for 2016 (See Attachment B);

(c) Each swimmer that has been Nominated to the Team must comply with all applicable rules and requirements of FINA, USOC, IOC, RIO ORGANIZING COMMITTEE, WADA, USADA, and USA Swimming, including without limitation USA Swimming policies and protocols regarding Team preparation and participation, through the Olympic Games.

1.2. Tryout Events:

1.2.1 Provide the event names, dates and locations of all trials, events and camps to be used as part of the selection process:

The Qualifying Competition

1.2.2 Provide event names, dates, locations and description of how athletes qualify for the trials, events and camps listed above in 1.2.1 (if any):

(a) To qualify for the Qualifying Competition, an athlete must achieve an applicable Qualifying Competition time standard between July 30, 2014 and the entry deadline for the Qualifying Competition. The Qualifying Competition time standards can be found at: http://www.usaswimming.org/_Rainbow/Documents/45272d69-b6df-4896-9d0c-8b703312df2d/TrialsQualifyingTimes.pdf
(b) To qualify for the Qualifying Competition, an athlete must, at the beginning of the Qualifying Competition, satisfy the minimum eligibility requirements for an athlete to be considered for Nomination to the Team, which are set forth in Section 1.1 above.

1.3. **Comprehensive Selection Process:**

This Section 1.3 sets forth the steps by which Available Swimmers will be selected for Nomination to the Team. Although USA Swimming and the USOC may announce during the Qualifying Competition that swimmers have “made the Team” or “qualified for the Team,” any such announcement is considered to be informal and subject to change. The process of determining who is eligible to be Nominated to the Team will take place at or before approximately 9:00 p.m. CDT on July 3, 2016, after completion of the Qualifying Competition. The results from the application of these Selection Procedures will be announced at approximately 10:00 p.m. CDT on July 3, 2016, although USA Swimming retains the right to make changes to the results to address withdrawals, vacancies and applicable FINA invitations through 11:59 a.m. CDT on July 4, 2016 (when the final nominations are forwarded to the USOC). The specific steps for determining the athletes to be Nominated to the Team are as follows:

1.3.1 **Team Size.** The maximum allowable Team size is twenty-six (26) men and twenty-six (26) women. The actual Team size will be determined once these Selection Procedures have been implemented. For purposes of these Selection Procedures, the men's and women's components of the Team will be considered separately (except for applying the maximum number of Relay-Only Swimmers, as described in Section 1.3.6). USA Swimming is under no obligation to Nominate to the Team a full team of 26 athletes in each, or either, gender.

1.3.2 **Qualifying Competition.** Performances at the Qualifying Competition, from among those eligible to compete in the Qualifying Competition as provided in Section 1.2 above, will be used to determine the Team. Performances at the Qualifying Competition will be prioritized using the priority system described below. The best finishing Available Swimmers will be subject to the OQT and OST requirements, FINA’s invitation requirements, and the FINA limits placed on Relay-Only Swimmers, all as described below, to determine those to be Nominated to the Team.

1.3.3 **Voluntary Withdrawal.** Any athlete may choose to voluntarily withdraw from one or more Events at the Olympic Games or from the Team altogether. An athlete choosing to voluntarily withdraw from, or consideration for, an Event at the Olympic Games or from the Team must do so in writing and is strongly encouraged to submit a written letter to the National Team Director by 8:00 p.m. CDT on July 3, 2016.

1.3.4 **Application of Priorities for Team Selection.** Performances in the individual Events at the Qualifying Competition will be prioritized for each gender as described in this Section 1.3.4. These Priorities will be applied on or before 9:00 p.m. CDT on July 3, 2016, after completion of the Qualifying Competition. Swimmers not qualifying via one of these priorities will not be eligible to be Nominated to the Team, unless they are subsequently added to the Team as a replacement according to the process set forth in Section 4. If, after applying the priorities set forth below, as well as the procedures set forth in Sections 1.3.5 and 1.3.6, there are fewer than twenty-six (26) men or twenty-six (26) women, or both, on the Team,
then USA Swimming will not field a full Team, unless the Team is filled as a result of adding one or more replacements as provided in Section 4.

(a) Priority #1. The first priority will be comprised of both (i) the four best finishing Available Swimmers based on finish order during the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle Events, and (ii) the best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the individual Events other than the 100-meter and 200-meter freestyle.

(b) Priority #2. If spots remain, the second priority will be comprised of the second best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the individual Events other than the 100-meter and 200-meter freestyle.

(c) Priority #3. If spots remain, the third priority will be comprised of the fifth best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle.

(d) Priority #4. If spots remain, the fourth priority will be comprised of the sixth best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle.

1.3.5 Application of OQTs and OSTs.

(a) Subject to the application of the priorities described in Section 1.3.4 above and the other rules set forth in this Section 1.3.5, it is generally anticipated that the two best finishing Available Swimmers in each individual Event at the Qualifying Competition will qualify for the Team and will be given the opportunity to swim the corresponding individual Event at the Olympic Games (for example, the top two 200m butterfly finishers at the Qualifying Competition will swim the 200m butterfly at the Olympic Games). However, FINA has a requirement that, in order for two U.S. athletes to compete in an individual Event at the Olympic Games, both athletes must have swum an OQT in a competition approved for that purpose by FINA. Note: the OQT does not have to be done at the Qualifying Competition, but must be done during the March 1, 2015 – July 3, 2016 timeframe in a competition approved for that purpose by FINA. The applicable FINA qualifying procedures are set forth in Attachment A.

(b) If the best finishing athlete in a particular Event does not have an OQT, but has an OST, he/she must be invited by FINA to participate at the Olympic Games. For purposes of clarification, if the best finishing Available Swimmer in an Event at the Qualifying Competition has an OST, and the second best finishing Available Swimmer has an OQT, the best finishing Available Swimmer finisher will be Nominated to the Team and will swim that Event at the Olympic Games, if invited by FINA, while the second best finishing Available Swimmer would not be Nominated to the Team for that Event nor would he/she swim in that Event at the Olympic Games.
(c) If a swimmer who stands to be Nominated to the Team has not received an invitation from FINA to participate in the Olympic Games on or before July 11, 2016, then that swimmer shall not be eligible for Nomination to the Team in that Event and the next best finishing Available Swimmer(s) will be selected according to the process set forth in Section 1.3.4 above.

(d) If the second best finishing athlete in an Event at the Qualifying Competition does not achieve an OQT in a competition approved for that purpose by FINA by the July 3, 2016 deadline, the next best finishing Available Swimmer in that Event at the Qualifying Competition will be eligible for Nomination to the Team in place of the athlete who does not have the OQT, provided that the replacement athlete has achieved an OQT and satisfies all other requirements for Nomination to the Team. If the athlete who stands to be replaced has qualified to be Nominated to the Team in another Event and he/she has achieved the OQT in that other Event, then he/she will not be removed from Nomination to the Team (unless required under another provision of these Selection Procedures) but will be removed from the Event in which he/she has not achieved the required OQT. If the next best finishing Available Swimmer does not have an OQT in a competition approved for that purpose by FINA, then the subsequent best finishing Available Swimmer in that Event at the Qualifying Competition will be Nominated to the Team in place of the athlete(s) who do not have the OQT, provided that the replacement athlete has an OQT and satisfies all other requirements for Nomination to the Team. This process will repeat until an Available Swimmer who has an OQT is eligible for Nomination to the Team or there are no other Available Swimmers who may be eligible for Nomination to the Team in that Event. If there are no other Available Swimmers with an OQT from a competition approved by FINA for that purpose, then USA Swimming will only Nominate one Available Swimmer to the Team in that Event.

1.3.6 Selection of Relay-Only Swimmers.

USA Swimming is limited to a total of 12 (combined between men and women) Relay-Only Swimmers on the Team (see Attachment A for the language of this rule). If, after applying the procedures set forth in Sections 1.3.4 and 1.3.5 above, there are more than 12 Relay-Only Swimmers who stand to be Nominated to the Team, then USA Swimming will use the process set forth in this Section 1.3.6 to determine who will be Nominated to the Team.

(a) Ranking Process. In the event that more Relay-Only Swimmers stand to be Nominated to the Team than allowed under FINA rules, the Relay-Only Swimmers will be ranked within their priority according to the Modified World Ranking list. Relay-Only Swimmers with the best Modified World Ranking will be eligible for Nomination to the Team in order of priority. Relay-Only Swimmers will be added to the list of those to be Nominated to the Team in order of priority until the maximum number of Relay-Only Swimmers (under the FINA rule) is obtained or the Team is full, whichever comes first.

Relay-Only Swimmers must meet OST Requirement. Per FINA rules, only those Relay-Only Swimmers who have the satisfied the OST standard for the Event in which they have qualified for the Team will be eligible for Nomination to the Team. The OST
must be achieved in the Event through which he/she qualifies for the Team during the applicable time period established by FINA (March 1, 2015 – July 3, 2016) at a competition approved by FINA for that purpose (FINA-approved competitions can be found at fina.org). See Attachment A for applicable OSTs. Any Relay-Only Swimmer who would otherwise stand to be Nominated to the Team who fails to satisfy the OST requirement will not be eligible to be Nominated to the Team.

1.3.7 Procedure if Nominations to the Team Stand to Exceed 26 Per Gender. If the application of the procedures set forth in Sections 1.3.4 and 1.3.5 results in a list of either more than twenty-six (26) male or more than twenty-six (26) female swimmers (or both) to be Nominated to the Team, then the swimmers of the gender with more than 26 swimmers to be Nominated to the Team shall be ranked and Nominated to the Team according to the following procedure:

(a) All swimmers from Priority #1 shall be Nominated to the Team.

(b) All swimmers from Priority #2 will be Nominated to the Team; provided, however, that if adding all swimmers from Priority #2 would result in more than twenty-six (26) swimmers for a gender to be Nominated to the Team, then the swimmers within Priority #2 shall be Nominated to the Team in order of the best Modified World Ranking for the Event for which they qualify until a maximum of twenty-six (26) swimmers for that gender are Nominated to the Team.

(c) If all Priority #2 swimmers are Nominated to the Team and the maximum Team size of twenty-six (26) swimmers per gender has not been attained, then the same procedure of using the best Modified World Rankings will be used to rank the swimmers from Priority #3, and Priority #3 swimmers will be Nominated to the Team in order of their Modified World Rankings until the team is full. If, after adding Priority #3 swimmers to the list of those to be Nominated to the Team, the Team is not full, then Priority #4 swimmers will be Nominated to the Team in order of their Modified World Rankings until the team is full, or until the list of Available Swimmers from Priority #1 through Priority #4 has been exhausted.

1.3.8 Breaking a Tie.

(a) Tie in Same Event. In the instance where there is a tie between two swimmers in the same Event for first place, both swimmers will be classified under Priority #1. In the instance where there is a tie between three or more swimmers for first place in the same Event or where there is a tie between two or more swimmers in the same Event for second place, a swim-off will be conducted at a time determined by the National Team Director, the swimmers, and the personal coaches of the swimmers. If the parties are unable to agree on a date and time for the swim-off, then the date and time will be established by the National Team Director. If the initial swim-off fails to break the tie, this process will continue until the tie is broken. The swimmers will be assigned to their respective Priorities based on their finish in the swim-off(s). The swim-off results will only be used to determine places of those involved in the swim-off and may not be used to change the finish order otherwise determined at the Qualifying Competition (for example, the winner of the swim-off between the second and third place finishers at the Qualifying Competition may not use his/her time in the swim-off to move to
Priority #1 if the swim-off time is better than the time of the winner of the Qualifying Competition).

(b) Tie in Determining Nominations to Team Involving Swimmers from Different Events. In the instance where the application of Modified World Rankings is used to rank swimmers to be Nominated to the Team (e.g. Sections 1.3.7(b)-(c)), and where the application of the Modified World Rankings results in a tie between two or more swimmers in different Events for the final position(s) on the Team, the tie will be broken as follows:

(i) Each swimmer’s fastest performance swum in his/her Event at the Finals of the Qualifying Competition will be ranked according to the FINA Points Table, 2016. The swimmer who has the best ranking shall be Nominated to the Team.

(ii) If this fails to break the tie, each swimmer’s performance swum in his/her Event at the Finals of the Qualifying Competition will be converted into a ratio, using the swimmer’s Qualifying Competition time as the denominator, and the FINA-approved World Record for that Event as of June 20, 2016 as the numerator, and the swimmer(s) with the highest percentage shall be Nominated to the final position(s) on the Team. A World Record set at the Qualifying Competition would not qualify as a FINA-approved World Record because the record would not yet be officially approved by FINA.

(iii) If this fails to break the tie, the fastest time swum by each swimmer in his/her Event during the period from March 1, 2015 through July 3, 2016 will be ranked according to the Modified World Ranking lists, and the swimmer(s) will be Nominated to the Team in order of the best ranking.

(iv) If this fails to break the tie, then the swimmer with the highest Modified World Ranking in an Event other than his/her Event involved in the tie shall be Nominated to the Team (e.g. if a swimmer’s results in the 100m freestyle are involved in the tie, then that swimmer’s highest Modified World Ranking in an Event other than the 100m freestyle shall be considered).

(v) If this fails to break the tie, each swimmer’s fastest performance swum in an Event at the Finals of the Qualifying Competition other than the Event that is the subject of the tie will be ranked according to the FINA Points Table, 2016. The swimmer who has the best ranking shall be Nominated to the Team. If only one swimmer involved in the tie swam a second Event at the Qualifying Competition, then that swimmer will be Nominated to the Team.

Announcement. Those officially Nominated to the Team will be announced at approximately 10:00 p.m. CDT on July 3, 2016, after completion of the Qualifying Competition.

1.3.9 Selection of Swimmers for Events.

(a) The two best finishing Available Swimmers in all individual Events at the Qualifying Competition will be given the opportunity to swim the corresponding
individual Event at the Olympic Games (for example, the top two 200m butterfly finishers at the Qualifying Competition will swim the 200m butterfly at the Olympic Games), provided that (i) both swimmers have been Nominated to the Team pursuant to the procedures set forth in this Section 1.3; (ii) both swimmers satisfy the applicable OQT requirement for that Event; and (iii) both swimmers remain eligible, under these Selection Procedures, through the completion of that Event at the Olympic Games.

(b) If the best finishing swimmer does not achieve the OQT in an individual Event, but achieves an OST, he/she must be formally invited to participate in the Olympic Games by FINA on or before July 11, 2016 before being considered an Available Swimmer. If such swimmer receives an invitation from FINA, then he/she will be Nominated to the Team, and there will not be a second U.S. swimmer in that Event. If such swimmer does not receive an invitation from FINA on or before July 11, 2016, then he/she will not be considered an Available Swimmer and the priorities set forth in Section 1.3.4 will be applied to the next best finishing Available Swimmers.

(c) If the best finishing Available Swimmer has achieved the OQT in an individual Event but the second best finishing Available Swimmer has not achieved the OQT in that Event either at the Qualifying Competition or at any other competition approved for that purpose by FINA from March 1, 2015 through July 3, 2016, then the second best finishing Available Swimmer will not swim in that Event (and may not be Nominated to the Team – see Section 1.3.5), and the second spot will be filled according to the process set forth in Section 1.3.5.

(d) If a Priority 2 swimmer fails to make the Team because the Team is full, then the swimmer already on the Team who has recorded the fastest time in that vacant Event during the period from March 1, 2015 through July 3, 2016 will serve as the replacement in that Event, provided that he/she has achieved the OQT in that Event.

(e) If there is a vacancy in an Event that arises on or after 11:59 a.m. CDT on July 4, 2016, the replacement process set forth in Section 4 will be used to fill the vacancy in that Event.

2. DISCRETIONARY SELECTION

2.1. Provide rationale for utilizing discretionary selection (if any):

All swimmers who are Nominated to the Team shall be eligible for selection to any and all relays to be held at the 2016 Olympic Games, provided that Relay-Only Swimmers must achieve the OST for the event within the relay in which they will be selected to compete. (Example: the Relay-Only Swimmer in the 4 x 200m Freestyle Relay must have an OST in the 200m Freestyle). The National Team Director, in consultation with the Head Coaches and the assistant coaches, shall select the swimmers for each relay conducted at the 2016 Olympic Games. In selecting the relay teams, the National Team Director shall consider all available relevant information, including but not limited to each Team member's Qualifying Competition
performances (including preliminary times, time trials, swim-offs and relay lead-off times), past relay performances, past relay reaction times, relay exchange experience, 2016 Olympic Games performances, personal best performances, 2016 Olympic Games event schedules, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, participation in relay training camps, scientific evaluation, attitude and team chemistry. According to the FINA-approved qualification methods for the 2016 Olympic Games, all Relay-Only swimmers must swim in either the preliminary heat or the final of the relay Event for which they have been selected, otherwise the relay will be disqualified.

2.2. List the discretionary criteria and explain how they will be used (if any):

See Section 2.1

2.3. Provide the name of the committee that will be responsible for making discretionary selections, along with a complete list of the members’ titles currently serving on the committee:

See Section 2.1

2.4. Specify the process that will be used to identify and handle any potential conflicts of interest involving a member of the committee:

If the National Team Director, or any of the Head Coaches or assistant coaches has a possible conflict of interest, he/she must disclose it. However, if such individual has relevant and necessary information with respect to athlete performance, he/she may provide such information, so long as it is provided in a fair manner.

3. REMOVAL OF ATHLETES

3.1 Prior to acceptance of nominations by the USOC, USA Swimming has jurisdiction over potential nominees. An athlete who is to be Nominated to the Team by USA Swimming may be removed as a nominee for any of the following reasons, as determined by USA Swimming’s National Team Director:

3.1.1 An athlete may choose to voluntarily withdraw from an Event or the Team. The athlete must submit in writing any withdrawal to the National Team Director. An athlete considering withdrawal is strongly encouraged to submit the written withdrawal by 8:00 p.m. CDT on July 3, 2016.

3.1.2 USA Swimming may remove an athlete who has an injury or illness, as certified by the approved Olympic team physician assigned to the sport of swimming, that is likely to keep him or her from competing at peak levels in the Olympic Games. If an athlete refuses verification of his/her illness or injury by the approved Olympic team physician assigned to the sport of swimming (or his/her medical staff), his/her injury will be assumed to keep him or her from competing at peak levels in the Olympic Games, and he/she may be removed.
3.1.3 An athlete may be removed if the National Team Director, in consultation with the Head Coaches, the USA Swimming Olympic International Operations Committee (OIOC) Chairman and the USA Swimming Athletes’ Executive Vice President, determine that the swimmer is unable or unwilling to maintain a training regimen that is necessary for peak performance in the 2016 Olympic Games. (See Participation and Commitment Agreement, which is included in Attachment B.)

3.1.4 Any swimmer may be dismissed from the Team at any time if the swimmer violates any of the applicable FINA, USOC, IOC, RIO ORGANIZING COMMITTEE, WADA, USADA, USA Swimming or other rules and regulations applicable to the Team or its members, including without limitation applicable Codes of Conduct or Honor Codes.

3.1.5 If a swimmer is alleged to have committed an anti-doping rule violation, that swimmer shall remain on the Team (or remain eligible to be Nominated to the Team) until: (i) the swimmer is provisionally suspended or declared ineligible after a hearing conducted pursuant to the U.S. Anti-Doping Agency Protocol for Olympic Movement Testing or by FINA; (ii) the swimmer voluntarily accepts a provisional suspension or sanction of ineligibility; or (iii) the swimmer voluntarily withdraws from the Team or relinquishes eligibility to be Nominated to the Team.

3.2 An athlete who is to be removed from the Team or an Event or from eligibility to be Nominated to the Team, pursuant to these provisions, has the right to a hearing per the USA Swimming Bylaws (usaswimming.org), the USOC’s Bylaws, Section 9, or the applicable anti-doping code/protocol before such removal or declaration of ineligibility.

3.3 Once an athlete nomination is accepted by the USOC, the USOC has jurisdiction over the Team, at which time, in addition to USA Swimming’s Code of Conduct and Honor Code, the USOC’s Code of Conduct and Grievance Procedures apply. The USOC’s Code of Conduct and Grievance Procedures can be found at:

http://www.teamusa.org/For-Athletes/Athlete-Ombudsman/Games-Information.aspx

4. REPLACEMENT OF ATHLETES

4.1. Describe the selection and approval process for determining replacement athlete(s) should a vacancy occur:

The pool of potential replacement athletes includes those individuals who meet the criteria set forth in this Section 4.

In order for an athlete who has not been Nominated to the Team to be considered as an Eligible Replacement Swimmer, he or she must, by 11:59 a.m. CDT on July 4, 2016, sign a USA Swimming form confirming that he or she wants to be considered as an Eligible Replacement Swimmer if the opportunity arises, which includes, but is not limited to, the requirement that he or she maintain a training regimen to ensure peak competitive fitness and provide regular documentation of such training regimen to the National Team Director, along with certification from his/her personal coach that he/she has completed the training regimen described in the documentation.
Failure to comply with all of the requirements for an Eligible Replacement Swimmer shall make an athlete ineligible to be added to the Team as a replacement, and the athlete shall not be considered an Eligible Replacement Swimmer.

Further, an Eligible Replacement Swimmer must satisfy the applicable OQT or OST, as described in Section 1.3.5 and is subject to the limitation on the number of Relay-Only Swimmers as described in Section 1.3.6 and the limitations set on the size of the male or female Teams, as provided in Section 1.3.1, before he/she can be Nominated to the Team.

4.1.1. Adjustments to the Team and Events from the time of Nominations to the Team (approximately 11:59 a.m. CDT July 4, 2016) through July 18, 2016:

(a) If there is a vacancy in an Event that occurs between 11:59 a.m. CDT on July 4, 2016, through July 18, 2016, USA Swimming cannot automatically add anyone to the Team without the written approval of the USOC, and possibly FINA, if an invitation is required.

(b) If the USOC approves, in writing, to the addition of a swimmer to the Team for an Event by no later than 8:00 p.m. Rio time on July 18, 2016, then the following procedure shall be used; however, no swimmer who is already on the Team may be taken off the Team as a result of the application of this procedure:

(c) Filling Vacant Event Positions with Team Vacancy.

(i) Filling Vacant Event Positions in All Events Other Than 100m and 200m Freestyle.

For all Events except the 100m or 200m Freestyle events, if the Team is not full (fewer than twenty-six (26) swimmers per gender) after a swimmer declines a position in an Event for any reason, or Event positions otherwise become vacant, then the vacancy in any such Event(s) shall be filled by the next fastest Eligible Replacement Swimmer in that Event. If the next fastest Eligible Replacement Swimmer is already on the Team, and he/she agrees after consulting with the Head Coach and National Team Director to swim in the additional Event, then he/she shall serve as the replacement in that Event. If he/she declines to swim in the additional Event, then he/she shall not be considered an Eligible Replacement Swimmer for that Event, and the next fastest Eligible Replacement Swimmer will be considered. If the next Eligible Replacement Swimmer is not already on the Team, then that swimmer will be selected according to Section 1.3.4. If adding the next Eligible Replacement Swimmer from each Event left vacant would cause the Team to exceed the maximum number (twenty-six (26) per gender), then those who qualify as the next fastest Eligible Replacement Swimmer in the Events left vacant shall be ranked according to the procedure described in Section 1.3.8(b). Those ranked highest according to that procedure shall be added to the Team in order until the Team reaches
twenty-six (26) per gender, and any remaining Event vacancy will be filled from within the Team pursuant to Section 4.1.1(c)(iii) below.

(ii) Filling Vacant Positions in 100m or 200m Freestyle with Team Vacancy.

If a vacancy occurs in the 100m or 200m Freestyle individual Event, the next highest Eligible Replacement Swimmer already on the Team will compete in the individual Event, provided that he/she has satisfied the OQT (and the highest-finishing Available Swimmer has also met the OQT) as well as any other applicable requirements set forth in these Selection Procedures, and no additional swimmer will be added to the Team. If the vacancy occurs due to the withdrawal of a Relay-Only Swimmer, then a replacement will be selected according to the procedures set forth in Section 4.1.1(c)(iv) below.

(iii) Filling Vacant Event Position with No Team Vacancy.

If the Team is still full (twenty-six [26] per gender) after the withdrawal from an Event, the vacancy in any Event(s) will be filled by an Available Swimmer already on the Team. First priority will be given to the next highest place finisher at the Qualifying Competition. If no swimmer already on the Team swam the Event at the Qualifying Competition, then the Available Swimmer on the Team with the highest World Ranking in the Event will be selected; provided, however, that the replacement swimmer must agree, after consulting with the Head Coach and National Team Director, to compete in the additional Event. If the replacement swimmer does not agree to swim in the additional Event, then this process will repeat until the vacant Event is filled.

(iv) Relay-Only Swimmer vacancy.

If the vacancy occurs because a Relay-Only Swimmer is no longer on the Team or withdraws from a position in a relay Event, then the following procedure will be used:

1. If there is a vacancy available for a Relay-Only Swimmer pursuant to Sections 1.3.1 and 1.3.6 above, then the next best finishing Eligible Replacement Swimmer from the Event in which the vacating swimmer qualified for the Team will be added to the Team. For example, if only five Relay-Only Swimmers were on the Team, and if the sixth-place finisher in the 100m freestyle were to leave the Team, then the seventh-place finisher in the 100m freestyle would be added to the Team, provided that he/she is an Eligible Replacement Swimmer.

2. If there are no vacancies available for Relay-Only Swimmers pursuant to Sections 1.3.1 and 1.3.6 above, then no swimmers will be added to the Team.
4.1.2. Adjustments to the Team and Events after submission of Entries by Name to the Rio Organizing Committee:

(a) After July 18, 2016, no swimmers will be added to the Team, and the Available Swimmer already on the Team who has recorded the fastest time in a vacant Event during the period from March 1, 2015, through July 3, 2016, will serve as the replacement in that Event; provided, however, that the replacement must agree, after consulting with the Head Coach and National Team Director, to compete in the vacant Event. If the replacement does not agree to swim in the vacant Event, then this process will repeat until the vacant Event is filled.

(b) The FINA Technical Meeting for swimming at the Olympic Games is scheduled to be held on the morning (Rio time) of Aug 4, 2016. Once that Technical Meeting has been completed, FINA historically has not allowed replacements in Events. Therefore, it is highly likely that no replacements will be allowed in any Event once the FINA Technical Meeting is completed.

5. SUPPORTING DOCUMENTS

USA Swimming will retain the approved Selection Procedures and all supporting documents, including scouting or evaluation forms, etc., and data from the selection process for six months past the date of the Closing Ceremony of the Games.

6. REQUIRED DOCUMENTS

In addition to the USOC Code of Conduct, the following documents are required to be signed by an athlete as a condition of nomination to the Olympic, Paralympic, Pan American or Parapan American Games and are included as attachments:

- 2016 Olympic Team Participation and Commitment Form
- National Team Authorization Waiver Release Form

7. PUBLICITY/DISTRIBUTION OF PROCEDURES

The USOC-approved Selection Procedures (complete and unaltered) will be posted/published by USA Swimming in the following locations:

7.1. USA Swimming Web site:

These procedures will be posted as soon as possible, but not more than five business days following notice of approval by the USOC.

7.2. Other:

N/A

8. DATE OF NOMINATION

15
The Nomination of Athletes form will be published on the USA Swimming website and will be submitted to the USOC by 11:59 a.m. CDT on July 4, 2016.

9. **MANDATORY TRAINING AND/OR COMPETITION**

*Specify the location, schedule and duration of mandatory training and/or competition:*

<table>
<thead>
<tr>
<th>Event</th>
<th>Date</th>
<th>Site</th>
</tr>
</thead>
<tbody>
<tr>
<td>Domestic Training Camp</td>
<td>July 17-24</td>
<td>San Antonio, TX</td>
</tr>
<tr>
<td>International Training Camp</td>
<td>July 24- Aug 1</td>
<td>San Juan, Puerto Rico</td>
</tr>
<tr>
<td>International Training</td>
<td>Aug 1-5</td>
<td>Rio de Janeiro, Brazil</td>
</tr>
<tr>
<td>Opening Ceremonies</td>
<td>Aug 5</td>
<td>Rio de Janeiro, Brazil</td>
</tr>
<tr>
<td>Competition</td>
<td>Aug 6-13</td>
<td>Rio de Janeiro, Brazil</td>
</tr>
<tr>
<td>Return home</td>
<td>various times</td>
<td></td>
</tr>
</tbody>
</table>

10. **ANTI-DOPING REQUIREMENTS**

Athletes must adhere to all IOC, WADA, FINA, USADA and USOC anti-doping protocols, policies and procedures, as applicable. This includes participation in Out-of-Competition Testing as required by the IOC, WADA, FINA, USADA and USOC Rules, as applicable.

11. **DEVELOPMENT OF SELECTION PROCEDURES**

The following committee/group was responsible for creating these Selection Procedures:

Lindsay Mintenko, National Team Managing Director, USA Swimming  
Mike Unger, Assistant Executive Director, USA Swimming  
Peter Vanderkaay, USOC Athletes’ Advisory Council Representative for USA Swimming  
Lucinda McRoberts, Chief Counsel & Director of Legal Affairs, USA Swimming

12. **USA SWIMMING BYLAWS AND GRIEVANCE PROCEDURES**

USA Swimming Bylaws and Grievance Procedures can be found at: [www.usaswimming.org](http://www.usaswimming.org)

13. **INTERNATIONAL DISCLAIMER**

These procedures are based on IOC, and/or FINA rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in IOC, and/or FINA rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to USA SWIMMING. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the altercation or cancellation of any of the selection events listed in this document, these selection procedures will be revised, pursuant to their resubmission to the USOC.
The terms and conditions of these written Selection Procedures shall take priority over any
verbal explanation, clarification or interpretation of the Selection Procedures, and an athlete
relies on a verbal explanation, clarification or interpretation of these Selection Procedures at his
or her sole risk. Any request or question that would involve a formal clarification or
interpretation of these Selection Procedures, or that would address an issue not otherwise
covered in these Selection Procedures, should be submitted to the National Team Managing
Director at USA Swimming in writing by no later than December 31, 2015, and the response to
such request by USA Swimming must be provided in writing and, where appropriate, must be
published promptly on the USA Swimming website. Any change to these Selection Procedures
must first be approved by the USOC.

An athlete who is Nominated to the Team by virtue of an error or oversight by USA Swimming
may be removed from the Team at any time.

14. ATHLETE OMBUDSMAN

Athletes who have questions regarding their opportunity to compete that are not answered
by USA Swimming may contact the USOC Athlete Ombudsman, Kacie Wallace by:

- Telephone at (719) 866-5000
- Email at Kacie.wallace@usoc.org
- http://www.teamusa.org/Athlete-Resources/Athlete-Ombudsman

15. NGB/HPMO SIGNATURES

I certify that I have read and understand the standards/criteria set by our IF and/or CF
(PAG/PPAG only) and incorporated those standards/criteria into our Selection
Procedures. I further certify that the information provided herein regarding Athlete
Selection Procedures represents the method approved by USA Swimming.

<table>
<thead>
<tr>
<th>Position</th>
<th>Print Name</th>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>NGB/HPMO President or CEO/Executive</td>
<td>Chuck Wiebers</td>
<td>Chuck Wiebers</td>
<td>1/29/16</td>
</tr>
<tr>
<td>Director</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nat. Team Coach, Head Coach, or Nat.</td>
<td>Frank Busch</td>
<td>FSB</td>
<td>1/24/16</td>
</tr>
<tr>
<td>Program Director</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>USOC Athletes' Advisory Council</td>
<td>Peter Vanderkaay</td>
<td>Peter</td>
<td>1/28/16</td>
</tr>
<tr>
<td>Representative*</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* If the USOC AAC Representative has delegated authority to the Alternate AAC
Representative to sign the Selection Procedures, attach a letter from the AAC
Representative indicating the reason he/she has delegated authority.
* Signature by the Athlete Representative constitutes that he/she has read and understands the Selection Procedures. If the Athlete Representative reads and does not agree with the Athlete Selection Procedures being submitted by the NGB/HPMO, he/she may submit those reasons in writing to his/her Sport Performance Team.

* If, for some reason, a sport does not have an elected USOC AAC Representative, the NGB/HPMO must designate an athlete from that sport to review and sign the Selection Procedures.

Attachment A: FINA Qualification System – Games of the XXXI Olympiad – Rio 2016- see additional documentation
Attachment B: USA Swimming forms
FÉDÉRATION INTERNATIONALE DE NATATION

Swimming

A. EVENTS (32)

<table>
<thead>
<tr>
<th>Men’s Events (16)</th>
<th>Women’s Events (16)</th>
</tr>
</thead>
<tbody>
<tr>
<td>50m Freestyle</td>
<td>50m Freestyle</td>
</tr>
<tr>
<td>100m Freestyle</td>
<td>100m Freestyle</td>
</tr>
<tr>
<td>200m Freestyle</td>
<td>200m Freestyle</td>
</tr>
<tr>
<td>400m Freestyle</td>
<td>400m Freestyle</td>
</tr>
<tr>
<td>1500m Freestyle</td>
<td>800m Freestyle</td>
</tr>
<tr>
<td>100m Backstroke</td>
<td>100m Backstroke</td>
</tr>
<tr>
<td>200m Backstroke</td>
<td>200m Backstroke</td>
</tr>
<tr>
<td>100m Breaststroke</td>
<td>100m Breaststroke</td>
</tr>
<tr>
<td>200m Breaststroke</td>
<td>200m Breaststroke</td>
</tr>
<tr>
<td>100m Butterfly</td>
<td>100m Butterfly</td>
</tr>
<tr>
<td>200m Butterfly</td>
<td>200m Butterfly</td>
</tr>
<tr>
<td>200m Individual Medley</td>
<td>200m Individual Medley</td>
</tr>
<tr>
<td>400m Individual Medley</td>
<td>400m Individual Medley</td>
</tr>
<tr>
<td>4 x 100m Freestyle Relay</td>
<td>4 x 100m Freestyle Relay</td>
</tr>
<tr>
<td>4 x 200m Freestyle Relay</td>
<td>4 x 200m Freestyle Relay</td>
</tr>
<tr>
<td>4 x 100m Medley Relay</td>
<td>4 x 100m Medley Relay</td>
</tr>
</tbody>
</table>

B. ATHLETES QUOTA

1. Total number of Athletes for Swimming:

<table>
<thead>
<tr>
<th>Qualification Places</th>
<th>Universality Places</th>
<th>Total Places</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>900*</td>
<td></td>
</tr>
</tbody>
</table>

* The total number of NOCs entered in Swimming events in Rio will be at least equal to the number of NOCs represented at the 2015 World Championships in Kazan (RUS), provided all athletes are eligible for the Games.

2. Maximum Number of Athletes per NOC:

<table>
<thead>
<tr>
<th>Quota per NOC</th>
<th>Event Specific Quota</th>
</tr>
</thead>
<tbody>
<tr>
<td>Men</td>
<td>Max. 26 swimmers</td>
</tr>
<tr>
<td></td>
<td>Maximum 2 athletes per event</td>
</tr>
<tr>
<td></td>
<td>Maximum 1 relay team per event</td>
</tr>
<tr>
<td>Women</td>
<td>Max. 26 swimmers</td>
</tr>
<tr>
<td></td>
<td>Maximum 2 athletes per event</td>
</tr>
<tr>
<td></td>
<td>Maximum 1 relay team per event</td>
</tr>
</tbody>
</table>
NOCs/NFs without any qualified athlete or relay team may enter a maximum of two (2) athletes – one (1) man and one (1) woman (Universality Places) in one (1) event each (see section “Universality Places” below).

3. Type of Allocation of Quota Places:
The quota place is allocated to the athlete by name in individual events.
The quota place is allocated to the NOC in Relay events.

C. ATHLETE ELIGIBILITY

All athletes must comply with the provisions of the Olympic Charter currently in force, including but not limited to, Rule 41 (Nationality of Competitors). Only those athletes who have complied with the Olympic Charter may participate in the Olympic Games.

Only the athletes who are eligible to participate at the official FINA competitions, in accordance with the FINA Rules, are entitled to participate in the Olympic Games.

Only athletes who have participated in the 16th FINA World Championships in Kazan in 2015 and who are approved by FINA to compete are eligible for Universality Places.

D. QUALIFICATION PATHWAY

The priority order for qualification places into the Olympic Games will be (FINA Rule BL 9.3.6.4.2):

1. All athletes with Olympic Qualifying Times
2. Athletes in relays
3. Universality Places
4. Invited athletes who have achieved an Olympic Selection Time

Individual Qualification

Qualification Events

Olympic Qualifying Time (OQT / “A” Time) and Olympic Selection Time (OST / “B” Time)

For each individual event at the Olympic Games, the FINA Bureau will establish Standard Entry Times of two (2) types: an “Olympic Qualifying Time” (OQT / “A” Time) and a “Olympic Selection Time” (OST / “B” Time) of which the OST / “B” Time shall be easier to achieve. Standard entry times can only be achieved in competitions approved for that purpose by FINA during the qualification period from 1 March 2015 to 3 July 2016.

FINA will establish a calendar with approved qualification events for individual entries. The calendar will be published on www.fina.org by 28 February 2015; it includes FINA World Championships; Continental Championships; Continental Qualification Events; National Championships and Trials; Qualification Events approved by FINA. At all qualifying events approved by FINA, only Referees and Starters on FINA Officials Lists are eligible. The timing system for the events must also be described by the Organiser. The list of the qualification times to be achieved by the athletes per event and per standard will be approved by the FINA Bureau in December 2014.

Athletes who have achieved the Olympic Qualifying Time (OQT / “A” Time)
Swimmers who achieve the OQT / “A” Time in one or more individual events are automatically qualified to participate in the Olympic Games.

NOCs can enter two (2) athletes in the same event, only if both athletes have achieved the OQT / “A” Time.

Invited athletes who have achieved an Olympic Selection Time (OST / “B” Time)
Following the end of the qualification period, FINA will assess the number of athletes having achieved the OQT / “A” Time, the number of relay-only swimmers and the number of Universality Places.
FINA will then invite athletes who have achieved an OST / “B” time, until the total quota of 900 is reached. OST places will be distributed by event, according to the position on the FINA World Ranking of 3 July 2016.

Additional swimmers having achieved an OST / “B” time may be invited to ensure that all NOCs represented at the 2015 World Championships in Kazan (RUS) will have an eligible swimmer to participate in the Olympic Games.

NOCs can only enter one (1) athlete per event having achieved an OST/“B” Time. Swimmers invited through OST/“B” Time are only allowed to compete in the events for which they received the FINA invitation.

Relay Qualification

Qualification Events

There shall be a maximum of sixteen (16) qualified teams in each relay event, for a total of 96 relay teams.

Each NOC may enter only one (1) team in each relay event.

FINA World Championships
The first 12 placed teams in each relay event at the 16th FINA World Championships in Kazan (RUS) in 2015 shall automatically qualify for the relay events at the 2016 Olympic Games.

Fastest Time during the qualification period
The remaining four (4) teams per relay event will be the teams with the fastest times in the FINA World Rankings of 31 May 2016 achieved during the qualification period, in the qualifying events approved by FINA, from 1 March 2015 to 31 May 2016.

If any of the qualified teams, both from the FINA World Championships Kazan 2015 or the remaining four teams with the fastest times in the FINA World Rankings of 31 May 2016, are not able to participate for any reason, the next fastest eligible team from the FINA World Rankings, in the qualifying events approved by FINA, from 1 March 2015 to 31 May 2016 in the respective event will be offered the relay team quota place.

All swimmers entered in individual events can be used in relays, even if they have not achieved the OST / “B” Time for the corresponding stroke and distance of the relay in which they are entered.

Each NOC may enter additional swimmers for relays only (Relay-Only Swimmers), provided that they have achieved at least the OST / “B” Time for the corresponding stroke and distance of the relay in which they are entered.
The following formula shall apply:

- One (1) Relay - two (2) additional swimmers
- Two (2) Relays - four (4) additional swimmers
- Three (3) Relays - six (6) additional swimmers
- Four (4) Relays - eight (8) additional swimmers
- Five (5) Relays - ten (10) additional swimmers
- Six (6) Relays - twelve (12) additional swimmers

If an NOC enters relay-only swimmers for a specific event, these swimmers must swim either in the heat or final of that relay event. Should a relay-only swimmer not compete, this will lead to the disqualification of the respective team in the final.

The NOCs must confirm to FINA the participation of their qualified relay team by no later than **10 June 2016**.

The NOCs must confirm their relay-only swimmers to FINA by no later than **1 July 2016**.

**Universality Places (Unqualified Athletes)**

NOCs with no swimmers who have achieved an OQT / “A” Time or who have been selected by FINA for an OST / “B” Time may enter a maximum of one (1) man and one (1) woman (Universality Places), provided that those swimmers participated in the 16th FINA World Championships in Kazan in 2015 and are approved by FINA to compete. These swimmers may be entered in one (1) individual event each.

NOCs with swimmers having achieved an OQT / “A” Time or selected by FINA for an OST / “B” Time only in one (1) gender may also enter one (1) universality swimmer in the other gender, who can participate in one (1) event.

NOCs must submit their applications for Universality Places to FINA for approval by **3 July 2016**. FINA will confirm the Universality Places to NOCs by **5 July 2016**.

**E. Confirmation Process for Quota Places**

Following the end of the qualification period, FINA shall assess the number of athletes having achieved the OQT / “A” Time, the number of relay-only athletes and the number of Universality Places. In order complete the overall athletes’ quota, FINA shall then invite athletes having achieved OST / “B” Time and inform each NOC accordingly by **3 July 2016**. An online tracking tool shall be published on the FINA website showing the athletes qualified in each event. FINA will also seek confirmation from NOCs/NFs concerning the participation of swimmers with OQT.

NOCs will then have to confirm if they wish to use these invitation places, as detailed in paragraph G. Qualification Timeline.

The confirmation process for NOCs which have qualified relay teams and obtained Universality Places can be found in the abovementioned sections.
F. REALLOCATION OF UNUSED QUOTA PLACES

REALLOCATION OF UNUSED QUALIFICATION PLACES

If an allocated team relay quota place is not confirmed by the NOC by the confirmation of quota place deadline or is declined by the NOC, the quota place will be reallocated to the next ranked team in the FINA World Rankings of 31 May 2016.

If an individual quota place is declined by an NOC, the quota place will be reallocated to the next best ranked athlete in the FINA World Rankings of 3 July 2016.

REALLOCATION OF UNUSED UNIVERSALITY PLACES

Unused Universality Place will be reallocated to the next best ranked athlete in the FINA World Rankings of 3 July 2016.

G. QUALIFICATION TIMELINE

<table>
<thead>
<tr>
<th>Date</th>
<th>Milestone</th>
</tr>
</thead>
<tbody>
<tr>
<td>December 2014</td>
<td>FINA to confirm the qualification time standards for all events. Standards to be distributed to all NOCs/NFs.</td>
</tr>
<tr>
<td>28 February 2015</td>
<td>List of qualification events to be established and published on <a href="http://www.fina.org">www.fina.org</a></td>
</tr>
<tr>
<td>1 March 2015 to 3 July 2016</td>
<td>Period to achieve qualification time standards for individual events</td>
</tr>
<tr>
<td>1 March 2015 to 31 May 2016</td>
<td>Period to achieve qualification time standards for relay events</td>
</tr>
<tr>
<td>24 July – 9 August 2015</td>
<td>16th FINA World Championships – Kazan, Russia</td>
</tr>
<tr>
<td>31 August 2015</td>
<td>FINA to inform NOCs/NFs of qualified relay teams from the World Championships</td>
</tr>
<tr>
<td>30 September 2015</td>
<td>NOC/NF to confirm participation of their relay teams qualified from the FINA World Championships Kazan 2015</td>
</tr>
<tr>
<td>31 May 2016</td>
<td>End of period to achieve qualification time standards for relay events</td>
</tr>
<tr>
<td>3 June 2016</td>
<td>FINA to inform NOCs/NFs of qualified relay teams after qualification period</td>
</tr>
<tr>
<td>10 June 2016</td>
<td>NOCs to confirm the participation of their relay teams to FINA</td>
</tr>
<tr>
<td>15 June 2016</td>
<td>FINA to reallocate unused relay teams quotas</td>
</tr>
<tr>
<td>1 July 2016</td>
<td>NOCs to confirm relay-only athletes to FINA</td>
</tr>
<tr>
<td>3 July 2016</td>
<td>Deadline for NOCs to submit to FINA their application for Universality Places</td>
</tr>
<tr>
<td>3 July 2016</td>
<td>End of period to achieve qualification time standards for individual events</td>
</tr>
<tr>
<td>4 July 2016</td>
<td>FINA to inform NOCs/NFs of OQT athletes and OST athlete invitations</td>
</tr>
<tr>
<td>5 July 2016</td>
<td>FINA to confirm the allocation of Universality Places to NOCs</td>
</tr>
<tr>
<td>8 July 2016</td>
<td>NOCs to confirm use of OQT athletes and OST athlete invitations to FINA</td>
</tr>
</tbody>
</table>
Qualification System – Games of the XXXI Olympiad – Rio 2016

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 July 2016</td>
<td>FINA to reallocate unused OST athletes invitations</td>
</tr>
<tr>
<td>By 18 July 2016</td>
<td>FINA to reallocate all unused quota places</td>
</tr>
<tr>
<td>18 July 2016</td>
<td>Rio 2016 Sport Entries deadline</td>
</tr>
</tbody>
</table>

H. Qualification Time Standards

The following table outlines the Qualification Time Standards for the Rio 2016 Olympic Games.

The standards have been based on the following numbers:
A-Standard: 16th place London 2012
B-Standard: +3.5 %

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>50m Freestyle</td>
<td>0.22.27</td>
<td>0.23.05</td>
<td></td>
<td>0.25.28</td>
<td>0.26.17</td>
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<td>2.16.27</td>
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USA SWIMMING

2016 OLYMPIC TEAM PARTICIPATION AND COMMITMENT

I agree to abide by the Olympic Team USA Swimming Athlete Selection Procedures, Olympic Team camps(s) and competition requirements, competition protocols, the Honor Code and the USA Swimming Code of Conduct.

I agree to fully commit to a comprehensive training program designed for a competitive performance at the 2016 Olympic Games. If for any reason I become unable to fulfill this commitment, I will immediately notify the National Team Director.

I understand that I may be removed from the 2016 Olympic Team if circumstances interfere with my preparation for peak performance.

I give USA Swimming National Team Division permission to give out my contact information for Olympic Team Staff use: ______Yes ______No

I give USA Swimming National Team Division permission to give out my contact information for internal USA Swimming Staff use: ______Yes ______No

____________________________________ Signature ___________________________________ Printed
Name____________________________________ Date

If under 18:

____________________________________ Parent Signature ___________________________________ Parent Printed
Name____________________________________ Date
NATIONAL TEAM AUTHORIZATION WAIVER RELEASE

This Authorization, Release and Waiver applies to official team members of the__________________, of which I am a member, and which will require my participation between ____________ (collectively, the “Event”).

General Acknowledgement & Acceptance

I hereby acknowledge that all information and signatures on this form are applicable to my participation in the Event.

Oath of Eligibility

I declare that I am eligible and in good standing with regulations set by USA Swimming, the International Federation for Amateur Swimming (FINA), the United States Olympic Committee (USOC) and the International Olympic Committee (IOC). I also declare I am not under suspension or disciplinary action imposed for use of illegal drugs or other athlete regulation infractions. I agree to sign additional documents, subject to my prior review and approval, to this effect when required to do so by USA Swimming, the USOC, or the Organizing Committee for the Event.

I certify that, to the best of my knowledge and belief, I am in good physical condition and have no disease or injury that would impair my performance in this activity and agree that while a participant in this activity, I will keep myself in top physical condition and retain my eligibility status.

I agree to notify USA Swimming immediately upon any change to my eligibility, any disciplinary action, or any change in my physical condition that would result in the declarations and certifications becoming untrue with respect to the Event.

Doping

I understand that it is the policy of USA Swimming to discourage and prevent the use of prohibited doping substances and prohibited doping methods. I also understand that it is my responsibility to ensure that no prohibited substance enters my system. The FINA Anti-Doping Rules are available online and from USA Swimming. I know that I can call the US Anti-Doping Agency (USADA) Drug Reference Line (1-800-233-0393) or check at the Drug Reference Online (www.usantidoping.org/dro) to check the status of all medications and substances I am currently using, and to satisfy any questions or concerns that I may have about medications and prohibited substances and methods.

I do not currently engage in, nor do I intend to use any prohibited substances and methods. I acknowledge that the use of prohibited substances or prohibited methods may subject me to disqualification and other sanctions imposed by USADA, the World Anti-Doping Agency (WADA), FINA or IOC.

Drug Testing

I understand that testing organizations (USADA, FINA, WADA, etc.) will conduct drug testing on athletes during and outside of competition. By participating in the Event, I hereby consent to and authorize the testing organizations (USADA, FINA, WADA, etc.) to test me for prohibited substances and prohibited methods at any time. I further acknowledge and agree that my refusal to participate in a drug test may
subject me to disqualification and sanctions, the same as if I had tested positive for a prohibited substance.

I hereby authorize USA Swimming to include the results of any drug testing of me in the published statistics for drug testing and to include my name in the list of athletes who have been drug tested, which USA Swimming publishes on their website. In the event I refuse to submit to a drug test or a drug test has a positive result, or commit any other anti-doping rule violation, I recognize that I am subject to the adjudication processes established by USADA, WADA, and/or FINA, because of an anti-doping violation.

Authorization and Event Promotion

I agree to be filmed and photographed by the official photographer(s) and network(s) of USA Swimming.

I hereby authorize the non-commercial use of my name, picture, likeness, voice, and biographical information as filmed, videotaped and photographed at the Event (“My Likeness”) by USA Swimming, in any and all non-commercial media, related to (owned by) USA Swimming. The foregoing authorization specifically includes the use of My Likeness for the non-commercial purpose of advertising and promoting the Event prior to and during, the Event, but specifically excludes the use of My Likeness in connection with the advertising or promotion of any third party, organization, products or services, including, without limitation, any Event sponsor (including, without limitation any USA Swimming sponsor), partner and/or exhibitor. In no event may USA Swimming use or authorize any commercial use of My Likeness. Any proposed use of My Likeness shall be submitted to me for my review and approval prior to any such use.

I understand and agree not to use or authorize use of pictures of myself in the uniforms and equipment provided by USA Swimming for the purpose of trade, without the consent of USA Swimming. I agree not to use medals or photos, portraits or film of myself with the medals, which I receive for my performance, for the purpose of trade.

Risk of Serious Injury

I understand and appreciate that my participation in the Event and in the sport of swimming carries a risk of serious injury, including permanent paralysis or death, and a risk of serious illness, which could be caused by water, food or other things in which I might come in contact or ingest. I voluntarily and knowingly recognize, accept and assume this risk.

WAIVER & RELEASE

In consideration of allowing me to participate in this activity and the Event, I hereby release and hold harmless USA Swimming, members of its board of directors, and its officers, employees, members, volunteers, other participants, and agents (collectively, the “Released Parties”), of and from, and do discharge and waive, any and all claims, demands, losses, damages, and liabilities that I may have or sustain with respect to any and all damage and/or injury, of any type, arising out of my participation in the Event.

This release of liability shall include, without limitation, any claims for negligence, gross negligence, willful misconduct or breach of warranty that Participant may have against the Released Parties. This release of liability is also intended to cover all claims that members of my family or estate, heirs, representatives, or assigns may have against the Released Parties.

_______________ Signature ______________ Printed Name __________ Date

If under 18: ________________ Parent Signature ______________ Parent Printed Name_______ Date
USA SWIMMING

NATIONAL TEAM PROTOCOL

1. Swimmers are expected to stay focused on swimming fast and minimize walking, sunbathing, sightseeing, etc. until they have finished their last event.

2. All swimmers are required to attend each finals session through the last awards ceremony, unless excused by the Head Coach or National Team Director/National Team Managing Director.

3. When not preparing for their own events, swimmers are expected to remain in the team area to support their teammates.

4. Team Uniform Caps as prescribed by the National Team Director must be worn during competition, which must be approved by FINA and USA Swimming. All technical gear must be approved by FINA and USA Swimming.

5. When applicable, USOC issued dress sweats must be worn when receiving an award or during USOC organized press conferences. **ARENA sweats and/or USA Swimming issued apparel must be worn at all other times on deck or during official team functions.** (Athletes may wear the apparel of their choice during their personal time.)

6. No hats or head coverings should be worn when receiving awards.

7. All team members will be expected to demonstrate proper respect for the American flag and the national anthem.

8. After a swimmer has swum his/her last event, attendance at all remaining sessions (including preliminaries) is required.

9. All USAS National Team, National Junior Team, or “Event” team members will be required to maintain the desired level of conditioning for optimum performances, as determined by USAS.

Name: ____________________________

Signature: _________________________

Date: _____________________________
USA SWIMMING

NATIONAL TEAM HONOR CODE

As a participant for the (the “Event”) for which I am selected by USA Swimming to attend, I understand and will comply with the following guidelines as set forth by USA Swimming and the Olympic International Operations Committee regulations at all times during each Event.

1. The possession or use of alcohol, tobacco products or controlled substances by any athlete or staff member participating in the Event is prohibited throughout the duration of the trip (until the team has officially disbanded).

2. Curfews established by the staff will be adhered to each day of the training camp and at the competition.

3. Team members and staff will attend all team functions including meetings, practices, exhibitions, press conferences, competitions, etc., unless otherwise excused or instructed by the head coach or National Team Director or other person designated by USA Swimming, provided that, in no event shall any such team function be commercial in nature or related to the advertising or promotion of any third party, organization, products or services, including, without limitation, any Event sponsor (including, without limitation any USA Swimming sponsor), partner and/or exhibitor.

4. To ensure the propriety of the athletes and to protect the staff, there will be no male athletes in female athlete’s rooms, and no female athletes in male athlete’s rooms. There will be a team room provided for relaxation and recreation whenever possible.

5. Team members and staff will comply with USA Swimming and United States Olympic Committee uniform requirements. Details of this policy will be further explained at the beginning of the training camp or competition.

6. Team members and staff will refrain from any illegal or inappropriate behavior that would detract from a positive image of USA Swimming and its Event participants or be detrimental to its performance objectives.

7. Team members including staff will display proper respect and sportsmanship toward teammates, coaches, officials, administrators, fellow competitors and the public.

8. Each swimmer must agree to be filmed and photographed by the official photographer(s) and network(s) of USA Swimming, and must agree to the non-commercial use of his or her name, picture, likeness, voice, and biographical information as filmed, videotaped and photographed at the Event (“Likeness”) by USA Swimming, in any and all non-commercial media, related to (owned by) USA Swimming. The foregoing authorization specifically includes the use of the Likeness for the non-commercial purpose of advertising and promoting the Event prior to and during, the Event, but specifically excludes the use of the Likeness in connection with the advertising or promotion of any third party, organization, products or services, including, without limitation, any Event sponsor (including, without limitation any USA Swimming sponsor), partner and/or exhibitor. In no event may USA Swimming use or authorize any commercial use of the Likeness. Any proposed use of the Likeness shall be submitted to the swimmer for his or her review and approval prior to any such use.
9. Any additional guidelines for the participants in the Event will be established as needed by the National Team Director or other person designated by USA Swimming.

IMPLEMENTATION:

1. All team and staff shall be apprised in writing of this policy. Signature of this document constitutes unconditional agreement to comply with Honor Code and Code of Conduct of USA Swimming.
2. USA Swimming shall have the right to establish and use one or more systems to determine if all aspects of the policy have been followed by team and staff members.
3. Failure to comply with the Honor Code as set forth in this document may result in disciplinary action under the provisions of the OIOC Policy Manual.
4. Disciplinary actions will be reviewed by the two head coaches, elected team captains and the National Team Director or their designee.

Any appeal following any disciplinary action shall be in accordance with Part Four of USA Swimming Rules and Regulations

Such discipline may include, but is not limited to: 1) Dismissal from the team and immediate return home at the athlete’s expense; 2) Disqualification from one or more events, or all events of competition; 3) Disqualification from future USA Swimming and international competition; 4) Financial penalties.

________________________________________Signature __________________________Printed
Name__________________Date

If under 18:
________________________________________Parent Signature _____________________Parent Printed
Name__________________Date