

USA Swimming
ATHLETE SELECTION PROCEDURES
2021 FINA Swimming World Cup
Berlin, Germany. Oct 1-3
Budapest, Hungary. Oct 7-9

DEFINITIONS

- Available Swimmer. "Available Swimmer" means a swimmer who (i) qualifies to be selected to the Team in an Event according to these Selection Procedures and has complied with all eligibility requirements set forth in Sections 1.1-1.3 of these Selection Procedures; (ii) certifies to USA Swimming that he/she remains available to swim through the completion of the 2021 FINA Swimming World Cup (iii) indicates in writing that he/she will accept the invitation to be selected to the Team when that invitation is offered by USA Swimming and to swim in at least one Event for which he/she qualifies at the 2021 FINA Swimming World Cup (except as otherwise provided herein); (iv) if female, is between 14-17 years of age as of 31 December 2021, (e.g., born in 2004, 2005, 2006, 2007);(v) if male, is between 15-18 years of age as of 31 December 2021 (e.g., born 2003, 2004, 2005, or 2006) per FINA rules for the World Junior Championships; and (vi) will not compete at the 2020 Olympic Games. If a swimmer does not satisfy these requirements, then he/she is not considered an Available Swimmer.
- Championships. "Championships" refers to the 2021 FINA Swimming World Cup Leg 3 and Leg 4 which will be conducted in Berlin, Germany October 1-3, 2021, and Budapest, Hungary October 7-9, 2021, respectively.
- Event. "Event" refers to those individual pool competition events contested at the Championships.
- FINA Points Table, 2021. FINA Points Table, 2021 means the applicable FINA Points Table (i.e., men or women) updated as of February 2021, and found on the website www.fina.org.
- Individual Olympic Event. "Individual Olympic Event" shall refer to those competition Events (i) for individuals (as opposed to relays), (ii) held in long course meters only, (iii) which are held in the Olympic Games, and (iv) which are at the Championships.
- Head Coach. Unless otherwise indicated, "Head Coach" refers to the head coach of the Team for the applicable gender (e.g., the Men's Team Head Coach or Women's Team Head Coach).
- Managing Director, National Team. "Managing Director, National Team" refers to the USA Swimming Managing Director, National Team, or his/her designee.

- Qualifying Competition. "Qualifying Competition" means the 2020 U.S. Olympic Team Trials – Swimming, to be held June 4, 2021 – June 7, 2021 (referred to as “Wave I”) and June 13, 2021 - June 20, 2021 (referred to as “Wave II”), both to be held in Omaha, Nebraska at the CHI Health Center Omaha.
- Times: “Times” means the final results which are posted in each Qualifying Competition Event from which the Qualifying Competition finish order is determined. All Times of preliminary heats, semifinals, and finals from Wave II and preliminary heats, A finals, and B finals from Wave I will be used to determine the fastest Times. Qualifying Competition. "Qualifying Competition" means the 2020 U.S. Olympic Team Trials - Swimming Wave 1 (June 4-7, 2021) and Wave 2 (June 13-20, 2021) held in Omaha, NE.
- Select/Selected/Selection to the Team. “Select/Selected/Selection to the Team” refers to the Team Selected by USA Swimming on or before July 1, 2021.
- "Team" means the 2021 National Junior Team International Team announced on or before Friday July 11, 2021, plus any changes or replacements made pursuant to these Selection Procedures.
- World Ranking. World Ranking means the World Ranking list downloaded from the usaswimming.org website at approximately 8:00 a.m. Central on June 1, 2021, and covering the period of March 1, 2019, through and including May 31, 2021.
 - Modified World Ranking. “Modified World Ranking” means the World Ranking list as modified by integrating all Times from the Qualifying Competitions. An athlete’s Modified World Ranking shall be determined by his/her time from the Qualifying Competitions.

1. SELECTION SYSTEM

1.1 Provide the minimum eligibility requirements for an athlete to be considered for Selection to the Team:

- 1.1.1* In order to be considered for Selection to the Team, an athlete must, at the beginning of the Qualifying Competition and at the time of Selection to the Team:
- a) be a registered athlete member of USA Swimming in good standing;
 - b) be in good standing with all applicable FINA, World Anti-Doping Agency (WADA), United States Anti-Doping Agency (USADA) eligibility requirements;
 - c) be a citizen of the United States; and
 - d) be eligible to represent the United States in international competition.

In order to be considered for Selection to the Team, an athlete must, at the time of Selection, be an Available Swimmer.

1.1.2 Nationality/Passport requirements:

Athlete must hold a valid U.S. passport by Friday, August 20, 2021, that will not expire for six months after the conclusion of the Championships.

1.1.3 Other requirements (if any):

- a) An athlete must qualify for the Qualifying Competition and compete in the Qualifying Competition. Qualification for the Qualifying Competition can be found here:
<https://www.usaswimming.org/docs/default-source/timesdocuments/time-standards/2021trialstimestandards.pdf>
- b) Each swimmer that has been Selected to the Team must sign and return to USA Swimming, by 11:59 p.m. MST July 9, 2021, and abide by, the USA Swimming applicable competition forms which will be made available at the Qualifying Competition;
- c) Each swimmer that has been Selected to the Team must comply with all applicable rules and requirements of the Championships, WADA, USADA, and USA Swimming, including without limitation USA Swimming policies and protocols regarding Team preparation and participation, through the Championships.
- d) All Available Swimmers over 18 years of age must successfully complete a criminal background check as required by USA Swimming and the United States Olympic and Paralympic Committee.
- e) All Available Swimmers are required to participate in a USADA education presentation prior to travel that will be arranged by USA Swimming staff.

1.2 Tryout Events:

The Qualifying Competition.

1.3 Comprehensive Selection Process:

This Section 1.3 sets forth the steps by which Available Swimmers will be Selected to the Team. Although USA Swimming may announce during the Qualifying Competition that swimmers have “made the Team” or “qualified for the Team,” any such announcement is considered to be informal and subject to change. The

process of determining who is eligible to be Selected to the Team will take place at or before July 1, 2021, after completion of the Qualifying Competition. The results from the application of these Selection Procedures will be announced on or before July 11, 2021, although USA Swimming retains the right to make changes to the results to address withdrawals and vacancies through the date when the final entries are forwarded to the organizing committee for the Championships. The specific steps for determining the athletes to be Selected to the Team are as follows:

- 1.3.1 **Team Size.** The maximum allowable Team size is twenty- six (26) men and twenty- six (26) women. The actual Team size will be determined once these Selection Procedures have been implemented. For purposes of these Selection Procedures, the men's and women's components of the Team will be considered separately. USA Swimming is under no obligation to select to the Team a full team of 26 athletes in each, or either, gender. Transgender athletes shall compete at the Championships consistent with IOC, FINA, USOPC and Championships' Organizing Committee policies and criteria.
- 1.3.2 **Qualifying Competition.** Performances at the Qualifying Competition, from among those eligible to compete in the Qualifying Competition as provided in Section 1.2 above, will be used to determine the Team. Performances at the Qualifying Competition will be prioritized using the priority system described below.
- 1.3.3 **Voluntary Withdrawal.** Any athlete may choose to voluntarily withdraw from the Team. An athlete choosing to voluntarily withdraw from the Team must do so in writing and is strongly encouraged to submit a written letter to the Managing Director, National Team by 5:00pm MST on August 20, 2021.
- 1.3.4 **Application of Priorities for Team Selection.** Performances in the individual Events at the Qualifying Competition will be prioritized for each gender as described in this Section.
- 1.3.5 **These Priorities will be applied on or before July 1, 2021, after completion of the Qualifying Competition.** Swimmers not qualifying via one of these priorities will not be eligible to be Selected to the Team, unless they are subsequently added to the Team as a replacement according to the process set forth in Section 4. If, after applying the priorities set forth below, as well as the procedures set forth in Section 1.3.6 , there are fewer than twenty-six (26) men or twenty-six(26) women, or both, on the Team, then USA Swimming will not field a full Team, unless the Team is filled as a result of adding one or more replacements as provided in Section 4.
 - (a) **Priority #1.** The first priority will be comprised of (i) the fastest Available Swimmer based on the fastest Time swum at the Qualifying Competition in each Event other than the 100 and 200 freestyle; and (ii) the four fastest Available Swimmers based on the Times swum at the Qualifying Competition in the 100 and 200 freestyle.

- (b) Priority #2. The second priority will be the second- fastest Available Swimmer based on the fastest Times swum at the Qualifying Competition in each Event, except in the 100 and 200 freestyle.
- (c) Priority #3. The third priority will be the fifth fastest best Available Swimmer based on the Times swum at the Qualifying Competition in the 100 Freestyle.
- (d) Limitation. Available Swimmers finishing below the sixth fastest Available Swimmer at the Qualifying Competition cannot be considered for Selection to the Team (example: if an athlete is the seventh fastest Available Swimmer in an Event and they meet the above criteria, they will not be eligible for selection to the team), with the exception of the 100 freestyle Events; up to and including the eighth fastest Available Swimmer from the Qualifying Competition in the 100 events will be eligible for Selection to the Team.

1.3.6 Procedure if Selection to the Team Stands to Exceed 26 Per Gender. If the application of the procedures set forth in Section 1.3.5 results in a list of either more than twenty- six (26) male or more than twenty- six (26) female swimmers (or both) to be Selected to the Team, then the swimmers of the gender with more than 26 swimmers to be Selected to the Team shall be ranked and Selected to the Team according to the following procedure:

- (a) All swimmers from Priority #1 shall be Selected to the Team.
- (b) All swimmers from Priority #2 will be Selected to the Team; provided, however, that if adding all swimmers from Priority #2 would result in more than twenty- six (26) swimmers for a gender to be Selected to the Team, then the swimmers within Priority #2 shall be Selected to the Team in order of the highest World Ranking for the Event for which they qualify until a maximum of twenty- six (26) swimmers for that gender are Selected to the Team.
- (c) If all Priority #2 swimmers are Selected to the Team and the maximum Team size of twenty- six (26) swimmers per gender has not been attained, then the Priority #3 swimmer will be Selected to the Team (subject to limitations set in Section 1.3.5(d)). If adding the Priority #3 swimmer would result in more than twenty- six (26) swimmers for a gender to be Selected to the Team, then the swimmer will not be selected.

1.3.7 Breaking a Tie.

- (a) Tie in Same Event between swimmers at the Qualifying Competition. In the instance where there is a tie between two Available Swimmers in the same Event for first place, both

swimmers will be classified under Priority #1. In the instance where there is a tie between three or more Available Swimmers for first place in the same Event or where there is a tie between two or more Available Swimmers in the same Event for Priority 2, a swim-off will be conducted at a time determined by the Managing Director, National Team, the swimmers, and the personal coaches of the swimmers. If the parties are unable to agree on a date and time for the swim-off, then the date and time will be established by the Managing Director, National Team. If the initial swim-off fails to break the tie, this process will continue until the tie is broken. If both athletes get a second swim (Final in Wave I or Semi-Final, or Final in Wave II), the athlete with the faster time in the Finals or Semi-Finals will be the winner of the swim-off and no additional swim-off will be needed. The swimmers will be assigned to their respective Priorities based on their finish in the swim-off(s). The swim-off results will only be used to determine places of those involved in the swim-off and may not be used to change the finish order otherwise determined at the Qualifying Competition (for example, the winner of the swim-off between the second and third place finishers at the Qualifying Competition may not use his/her time in the swim-off to move to Priority #1 if the swim-off time is better than the time of the winner of the Qualifying Competition).

- (b) Tie in Same Event between swimmers from different Waves of the Qualifying Competition. In the instance where there is a tie between two Available Swimmers who swam in different Waves of the Qualifying Competition in the same Event for first place, both swimmers will be classified under Priority #1. In the instance where there is a tie between three or more Available Swimmers for first place in the same Event or where there is a tie between two or more Available Swimmers in the same Event for second place, where all athletes involved in the tie did not swim in the same Wave of the Qualifying Competition then athletes will be ranked by their next fastest time in the Event from March 1, 2019 to May 31, 2021. If this fails to break the tie, then the swimmer with the highest World Ranking from the Qualifying Competition in an Event other than his/her Event involved in the tie shall be Selected to the Team (e.g., if a swimmer's results in the 100m freestyle are involved in the tie, then that swimmer's highest World Ranking in an Event other than the 100m freestyle shall be considered).
- (c) Tie in Determining Selection to Team Involving Swimmers from Different Events. In the instance where the Modified World Ranking is used to rank swimmers to be Selected to the Team (e.g., Sections 1.3.5 (b), (c)), and where the application of the Modified World Ranking results in a tie between two or more swimmers in different Events for the final position(s) on the Team, the tie will be broken as follows:

- (i) Each swimmer's fastest performance swum in his/her Event at the Qualifying Competition will be ranked according to the FINA Points Table, 2021. The swimmer who has the best ranking shall be Selected to the Team.
- (ii) If this fails to break the tie, each swimmer's fastest performance swum in his/her Event at the Qualifying Competition will be converted into a ratio, using the swimmer's Qualifying Competition time as the denominator, and the FINA- approved World Record for that Event as of May 31, 2021, as the numerator, and the swimmer(s) with the highest percentage shall be Selected to the final position(s) on the Team. A World Record set at the Qualifying Competition would not qualify as a FINA-approved World Record because the record would not yet be officially approved by FINA.
- (iii) If this fails to break the tie, the fastest time swum by each swimmer in his/her Event during the period from March 1, 2019, through and including May 31, 2021, will be ranked according to the Modified World Ranking lists, and the swimmer(s) will be Selected to the Team in order of the best ranking.
- (iv) If this fails to break the tie, then the swimmer with the highest World Ranking in an Event other than his/her Event involved in the tie shall be Selected to the Team (e.g., if a swimmer's results in the 100m freestyle are involved in the tie, then that swimmer's highest World Ranking in an Event other than the 100m freestyle shall be considered).
- (v) If this fails to break the tie, each swimmer's fastest performance swum in an Event at the Qualifying Competition other than the Event that is the subject of the tie will be ranked according to the FINA Points Table, 2021. The swimmer who has the best ranking shall be Selected to the Team. If only one swimmer involved in the tie swam a second event at the Qualifying Competition, then that swimmer will be Selected to the Team.

1.4 Announcement.

Those officially Selected to the Team will be announced on or before 12:00 p.m. MDT on July 11, 2021.

1.5 Selection of Swimmers for Individual Olympic Events.

- a. The best Available Swimmers based on the fastest Time swum at the Qualifying Competition must swim one of the corresponding Individual

Olympic Event at the Championships, provided that they meet all other requirements. Available Swimmers may enter additional Events at the Championships.

- b. If there is a vacancy in an Individual Olympic Event that arises on or after 11:59 a.m. MT on July 12, 2021, the replacement process set forth in Section 4 will be used to fill the vacancy in that Individual Olympic Event.

2. RELAY SELECTION

Should relays be provided at the Championships, all swimmers who are Selected to the Team shall be eligible for selection to any and all relays to be held at the Championships. The Managing Director, National Team, in consultation with the Head Coaches and the assistant coaches, shall select the swimmers for each relay conducted at the Championships. In selecting the relay teams, the Managing Director, National Team, and the coaches, shall consider all available relevant information, including but not limited to each Team member's Qualifying Competition performances (including preliminary times, time trials, swim-offs and relay lead-off times), past relay performances, past relay reaction times, relay exchange experience, Championships performances, personal best performances, Championships event schedules, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, participation in relay training camps, scientific evaluation, attitude and team chemistry.

Any member of the relay selection committee that has a possible conflict of interest must disclose it. If such conflict exists, the relay selection committee member must recuse him/herself from relay selections discussions and voting. Further, the committee member should not otherwise influence other members of the relay selection committee in the selection process. However, a committee member who recused him/herself, but who has relevant and necessary information with respect to athlete performance, may, if requested by the relay selection committee, provide such information to the committee so long as such information is provided in a fair and unbiased manner and the committee member who declared the conflict of interest does not vote toward the final decision.

3. REMOVAL OF ATHLETES

3.1 An athlete Selected to the Team by USA Swimming may be removed for any of the following reasons, as determined by the Managing Director, National Team:

3.1.1 Voluntary withdrawal. An athlete may choose to voluntarily withdraw from the Team. The athlete must submit in writing any withdrawal to the Managing Director, National Team no later than 11:59 am MST on August 30, 2021.

3.1.2 Injury or illness as certified by a physician (or medical staff) approved by USA Swimming. USA Swimming may remove an athlete who has an injury or illness, as certified by the approved USA Swimming team physician, that is likely to keep him or her from competing at peak levels in the Championships. If an athlete refuses verification of his/her illness or injury by the approved USA Swimming team physician (or his/her medical staff), his/her injury will be

assumed to keep him or her from competing at peak levels in the Championships, and he/she may be removed.

3.1.3 An athlete may be removed if the Managing Director, National Team, in consultation with the Head Coaches, the USA Swimming Chief Operating Officer, and the USA Swimming Steering Committee Chairman, and the USA Swimming USOPC AAC Athlete Representative determine that the swimmer is unable or unwilling to maintain a training regimen that is necessary for peak performance in the Championships.

3.1.4 Any swimmer may be dismissed from the Team at any time if the swimmer violates any of the applicable Championships Organizing Committee, FINA, USA Swimming or other rules and regulations applicable to the Team or its members, including without limitation applicable Codes of Conduct or Honor Codes.

If a swimmer is alleged to have committed an anti-doping rule violation, that swimmer shall remain on the Team (or remain eligible to be Selected to the Team) until: (i) the swimmer is provisionally suspended or declared ineligible after a hearing conducted pursuant to the U.S. Anti-Doping Agency Protocol for Olympic Movement Testing or by FINA; (ii) the swimmer voluntarily accepts a provisional suspension or sanction of ineligibility; or (iii) the swimmer voluntarily withdraws from the Team or relinquishes eligibility to be Selected to the Team.

3.1.5 An athlete who is to be removed from the Team or from eligibility to be Selected to the Team, pursuant to these provisions, has the right to a hearing per the USA Swimming Bylaws (usaswimming.org) or the applicable anti-doping code/protocol before such removal or declaration of ineligibility.

3.1.6 If an athlete is alleged to have committed a violation of the SafeSport Code for the U.S. Olympic and Paralympic Movements (the "SafeSport Code"), that athlete shall remain on the Team (or remain eligible to be Selected to the Team) until: (i) the athlete is provisionally suspended or declared ineligible after a hearing conducted pursuant to the Code; (ii) the athlete voluntarily accepts a provisional suspension or sanction of ineligibility; or (iii) the athlete voluntarily withdraws from the Team or relinquishes eligibility to be Selected to the Team.

An athlete who is to be removed from the Team or an Event or from eligibility to be Selected to the Team, pursuant to these provisions, has the right to a hearing per the USA Swimming Bylaws (usaswimming.org), the USOPC's Bylaws, Section 9, or the applicable anti-doping code/protocol, or the SafeSport Code before such removal or declaration of ineligibility.

3.2 An athlete may be removed as a nominee to the Team or from the Team for an adjudicated violation of IOC, WADA, FINA, USADA and or USOPC anti-doping protocol, policies and procedures, as applicable or an adjudicated violation of the SafeSport Code.

4. REPLACEMENT OF ATHLETES

4.1.1 Adjustments to the Team and Events from the time of announcement of the Team (approximately 12:00 p.m. MST July 11, 2021).

If there is a vacancy in an Event that occurs between the time of announcement of the Team (approximately 12:00 p.m. MDT July 11, 2021) through the date which entries are submitted, August 30, 2021, USA Swimming can add Available Swimmers to the team.

(a) Filling Vacant Event Positions with Team Vacancy.

Filling Vacant Event Positions in All Events

For all Events if the Team is not full (fewer than twenty- six (26) swimmers per gender) after a swimmer declines a position in an Event for any reason, or Event positions otherwise become vacant, then the vacancy in any such Event(s) shall be filled by the next best Available Swimmer in that Event, subject to the priorities and limitations in Section 1.3. If he/she declines to swim in the Event, then he/she shall not be considered an Available Swimmer for that Event, and the next best Available Swimmer will be selected according to Section 1.3.5. If adding the next Available Swimmer from each Event left vacant would cause the Team to exceed the maximum number (twenty- six (26) per gender), then those who qualify as the next best Available Swimmer in the Events left vacant shall be ranked according to the procedure described in Section 1.3.6. Those ranked highest according to that procedure shall be added to the Team in order until the Team reaches twenty- six (26) per gender, and any remaining Event vacancy will be filled from within the Team pursuant to Section 4.1.1(b) below.

(b) Filling Vacant Event Position with No Team Vacancy.

If the Team is still full (twenty- six (26) per gender) after the withdrawal from an Event, the vacancy in any Event(s) will be filled by an Available Swimmer already on the Team. First priority will be given to the next fastest swimmer from the Qualifying Competition. If no swimmer already on the Team swam the Event at the Qualifying Competition, then the Available Swimmer on the Team with the fastest time recorded in the Event between March 1, 2019, through and including May 31, 2021, will be selected for that Event; provided, however, that the replacement swimmer must agree, after consulting with the Head Coach and Managing Director, National Team, to compete in the additional Event. If the replacement swimmer does not agree to swim in the additional Event, then this process will repeat until the vacant Event is filled.

4.1.2. Adjustments to the Team and Events after submission of entries:

After August 30, 2021, no swimmers will be added to the Team.

5. SUPPORTING DOCUMENTS

USA Swimming will retain the approved Selection Procedures and all supporting documents, including scouting or evaluation forms, etc., and data from the selection process for six months past the date of the conclusion of the Championships.

6. REQUIRED DOCUMENTS

In addition to the USA Swimming Code of Conduct, additional documents will be provided as part of the Selection and are required to be signed by an athlete as a condition of selection to the Championships.

7. PUBLICITY/DISTRIBUTION OF PROCEDURES

The Selection Procedures (complete and unaltered) will be posted/published by USA Swimming in the following locations:

USA Swimming website

8. DATE OF SELECTION

The selection of Athletes will be published on the USA Swimming website and will be posted on July 11, 2021, at approximately 12:00pm MST.

9. MANDATORY TRAINING AND/OR COMPETITION

The following dates and locations are subject to change. An athlete can have a different arrival or departure schedule after written approval from the Head Coach and Managing Director, National Team.

Event	Date	Site
<u>Travel to Assembly Point</u>	<u>September 28</u>	<u>TBD</u>
<u>Depart for Berlin</u>	<u>September 28</u>	<u>TBD</u>
<u>Berlin</u>	<u>Sept 29- 30</u>	<u>Berlin, Germany</u>
<u>Competition Days</u>	<u>October 1-3</u>	<u>Berlin, Germany</u>
<u>travel to Budapest</u>	<u>October 4</u>	<u>Budapest, Hungary</u>
<u>Budapest</u>	<u>October 4-6</u>	<u>Budapest, Hungary</u>
<u>Competition Days</u>	<u>October 7-9</u>	<u>Budapest, Hungary</u>
<u>Return Home</u>	<u>October 10</u>	<u>TBD</u>

10. ANTI-DOPING REQUIREMENTS

Athletes must adhere to all WADA, FINA, and USADA anti-doping protocols, policies and procedures, as applicable. This includes

participation in Out-of-Competition Testing as required by WADA, FINA, and USADA Rules, as applicable.

11. USA SWIMMING BYLAWS AND GRIEVANCE PROCEDURES

USA Swimming Bylaws and Grievance Procedures can be found at: usaswimming.org

12. DISCLAIMER

These procedures are based on current FINA regulations, as applicable and as presently known and understood. Any change in the Selection Procedures caused by a change in FINA or the Championships Organizing committee and regulations will be distributed to the affected athletes immediately. The Selection Procedures are based on the latest information available to USA Swimming. However, the Selection Procedures are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the alteration or cancellation of any of the selection events listed in this document, these Selection Procedures will be revised.

The terms and conditions of these written Selection Procedures shall take priority over any verbal explanation, clarification or interpretation of the Selection Procedures, and an athlete relies on a verbal explanation, clarification or interpretation of these Selection Procedures at his or her sole risk. Any request or question that would involve a formal clarification or interpretation of these Selection Procedures, or that would address an issue not otherwise covered in these Selection Procedures, should be submitted to the Managing Director, National Team at USA Swimming in writing by no later than June 1, 2021 and the response to such request by USA Swimming must be provided in writing and, where appropriate, must be published promptly on the USA Swimming website.

An athlete who is Selected to the Team by virtue of an error or oversight by USA Swimming may be removed from the Team at any time.