

**USA Swimming**  
**ATHLETE SELECTION PROCEDURES**  
**2020 Olympic Games**  
Pool  
October 11, 2018

**Amended July 20, 2020**

Note: Following the IOC's announcement that the Tokyo 2020 Olympic Games have been rescheduled to take place July 23 – August 8, 2021, it was confirmed that these Games would still be referred to as the Tokyo 2020 Olympic Games. Therefore, any references to the Olympic qualification system, Olympic Team, Olympic Trials and/or Olympic Games still reflect '2020' in these selection procedures.

## **DEFINITIONS**

Available Swimmer. "Available Swimmer" means a swimmer who (i) qualifies to be Nominated to the Team in an Event according to these Selection Procedures and has complied with all eligibility requirements set forth in Sections 1.1-1.2 of these Selection Procedures; (ii) satisfies the applicable OQT or OST requirement for the Event in which he or she is an Available Swimmer; (iii) certifies to USA Swimming that he or she remains available to swim through the completion of the 2020 Olympic Games; and (iv) indicates in writing that he or she will accept the invitation to be Nominated to the Team when that invitation is offered by USA Swimming and to swim in the Event for which he or she qualifies in the Olympic Games (except as otherwise provided herein). If a swimmer does not satisfy these requirements, then he or she is not considered an Available Swimmer. For purposes of these Selection Procedures, the term Available Swimmer is meant to be used in connection with the initial Nominations to the Team, but is not meant to be used (except to the extent the term Available Swimmer is used in the definition of the term Eligible Replacement Swimmer) for replacements to the Team (the term "Eligible Replacement Swimmer" will apply for replacements to the Team).

Eligible Replacement Swimmer. Eligible Replacement Swimmer means an athlete who (i) is not initially Nominated to the Team in the Event for which he or she wishes to be considered as an Eligible Replacement Swimmer; (ii) is the Available Swimmer with the next best finish in the Finals of the Qualifying Competition in the Event(s) for which he or she wishes to be considered as an Eligible Replacement Swimmer; (iii) indicates in writing to USA Swimming by 11:59 a.m. CDT on ~~June 29, 2020~~ June 21, 2021, that he or she wishes to be considered as an Eligible Replacement Swimmer under these Selection Procedures; (iv) satisfies the applicable OQT or OST requirement for the Event in which he or she would like to be considered as an Eligible Replacement Swimmer; (v) between his or her last Event at the Qualifying Competition and the date he or she is Nominated to the Team (if, in fact, he or she is so Nominated), maintains a training regimen to

ensure peak competitive fitness; and (vi) provides regular documentation of such training regimen to the National Team Managing Director, along with certification from his/her personal coach that he or she has completed the training regimen described in the documentation. In the event an Eligible Replacement Swimmer is already Nominated to the Team in a different Event, he or she is exempt from the requirements set forth in (iii) and (vi) above but remains subject to all other requirements.

Event. "Event" refers to those individual pool competition events contested at the 2020 Olympic Games.

FINA Points Table for ~~2021~~ ~~2020~~. FINA Points Table for ~~2021~~ ~~2020~~ means the applicable long course FINA Points Table (i.e., men or women) ~~updated as of February 2020, and~~ found on the website [www.fina.org](http://www.fina.org) ~~and printed June 12, 2021.~~

Finals. "Finals" means the Final heat that is conducted in each Qualifying Competition Event from which the Qualifying Competition finish order is determined. The results of the Finals will be used to determine the finish order for the top eight places. The results of the semifinals and preliminary heats of the Qualifying Competition will be used to determine finish order immediately lower than those in the Finals (i.e., 9<sup>th</sup> place and below).

Head Coach. Unless otherwise indicated, "Head Coach" refers to the head coach of the Team for the applicable gender (i.e., the Men's Team Head Coach or Women's Team Head Coach).

Modified World Ranking. See "World Ranking," below.

National Team Managing Director. "National Team Managing Director" refers to the USA Swimming National Team Managing Director, or his/her designee.

Nominate/Nominated/ Nomination to the Team. "Nominate/Nominated/ Nomination to the Team" refers to the Team nominated by USA Swimming to the USOPC by 11:59 a.m. CDT on ~~June 21, 2021~~ ~~June 29, 2020~~, plus any replacements that may be added to the Team pursuant to Section 4 of these Selection Procedures.

Olympic Qualifying Time (OQT). An Olympic Qualifying Time (OQT) is a time standard developed by FINA for those wishing to compete in individual Events at the Olympic Games. OQTs can be achieved in competitions approved for that purpose by FINA ~~from March 1, 2019 through June 27, 2021~~ ~~29, 2020~~. FINA Olympic Qualification Time Standards are found in Attachment A.

Olympic Selection Time (OST). An Olympic Selection Time (OST) is an easier time standard developed by FINA for those wishing to compete in relays and, subject to

certain limitations and restrictions, individual Events at the Olympic Games. OSTs can be achieved in competitions approved for that purpose by FINA from March 1, 2019 through June 27, 2021 ~~29, 2020~~. FINA Olympic Qualification Time Standards are found in Attachment A.

Qualifying Competition. "Qualifying Competition" means the 2020 U.S. Olympic Team Trials – Swimming, June 13, 2021 - June 20, 2021, June 21, 2020 – June 28, 2020, in Omaha, Nebraska at the CHI Health Center Omaha.

Relay-Only Swimmer. A Relay-Only Swimmer means a swimmer who has qualified for the Team (prior to implementation of FINA limits on the number of Relay-Only Swimmers as provided in Section 1.3.6 of these Selection Procedures), but has not qualified to swim in an individual Event.

Team. "Team" means the 2020 Olympic Games Team Nominated to the USOPC on June 21, 2021 ~~June 30, 2020~~, plus any changes or replacements made pursuant to these Selection Procedures.

World Ranking. World Ranking means the World Ranking list printed from the World Rankings Powered by USA Swimming found at [usaswimming.org](http://usaswimming.org) ~~FINA.org~~ website at approximately 8:00 a.m. CDT on June 12, 2021 ~~21, 2020~~ and covering the period of March 1, 2019 through and including June 7, 2021 ~~14, 2020~~.

Modified World Ranking. "Modified World Ranking" means the World Ranking list as modified by integrating all times from the Finals of the Qualifying Competition. An athlete's Modified World Ranking shall be determined by his/her time from the Finals of the Qualifying Competition. The results of the semifinals and preliminary heats of the Qualifying Competition will be used to determine finish order immediately lower than those in the Finals (i.e., ninth place and below).

## 1. SELECTION SYSTEM

- 1.1. Provide the minimum eligibility requirements for an athlete to be considered for Nomination to the Team:

In order to be considered for Nomination to the Team, an athlete must, at the beginning of the Qualifying Competition and at the time of Nomination to the Team, meet the following criteria:

- 1.1.1. Nationality/Passport requirements:
  - (a) Athlete must be a national of the United States
  - (b) Athlete must be eligible to represent the United States in the Olympic Games

- (c) Athlete must hold a valid U.S. passport that will not expire for six months after the conclusion of the Games.
- 1.1.2. Minimum International Olympic Committee (IOC) standards for participation:
- (a) Any competitor in the Olympics must be a national of the country of the National Olympic Committee (NOC) which is entering such competitor. For additional information regarding an athlete who is a national of two or more countries, has changed his or her nationality or acquired a new nationality, refer to the Olympic Charter (Rule 41).
- 1.1.3. Minimum International Federation (IF) standards for participation (if any):
- (a) To ensure Nomination to the Team, all athletes qualifying to swim in an individual Event at the Olympic Games must equal or better the OQT standard set by FINA for that Event during the applicable time period established by FINA (March 1, 2019 – June ~~27, 2021~~ ~~29, 2020~~) in a competition approved for that purpose by FINA (competitions can be found at [fina.org](http://fina.org)). Per FINA rules, USA Swimming will be allowed to enter two athletes in an Event at the Olympic Games only if both athletes have equaled or bettered the OQT in that Event in a competition approved for that purpose by FINA.
  - (b) An athlete may also qualify to be Nominated to the Team by equaling or bettering the OST. Per FINA rules, any swimmer eligible to be Nominated to the Team who has achieved an OST, but not an OQT, in an individual Event must receive an invitation from FINA through the USOPC or USA Swimming in order to compete in the Olympic Games. If a swimmer does not receive an invitation from FINA to compete in the Olympic Games on or before ~~July 4, 2021~~ ~~July 6, 2020~~, then that swimmer will not be eligible for Nomination to the Team in that individual Event. See Section 1.3.5 for the requirements relating to OQTs and OSTs. See Attachment A for OQT and OST standards for each Event.
- 1.1.4. Other requirements (if any):
- In order to be considered for Nomination to the Team, an athlete must, at the beginning of the Qualifying Competition and at the time of Nomination to the Team:
- (a) be a registered athlete member of USA Swimming in good standing;
  - (b) have successfully completed all Games Registration requirements by stated deadline.

- (c) qualify for the Qualifying Competition, as described in Section 1.2, and compete in the Qualifying Competition;
- (d) sign and return to USA Swimming, by 11:59 a.m. CDT on June ~~21, 2021~~ ~~30, 2020~~, and abide by, the USA Swimming and USOPC applicable competition forms for ~~2021~~ ~~2020~~ (documents will be available prior to Qualifying Competition);
- (e) comply with all applicable rules and requirements of FINA, the USOPC, the IOC, the Tokyo Organizing Committee, WADA, USADA, and USA Swimming, including without limitation USA Swimming policies and protocols regarding Team preparation and participation, through the Olympic Games;
- (f) In order to be considered for Nomination to the Team, an athlete must, at the time of Nomination to the Team, be an Available Swimmer;
- (g) if 18 or older, undergo a background screen in accordance with the current USOPC Background Check Policy;
- (h) if 18 or older as of the Closing Ceremony, complete the U.S. Center for Safe Sport's online training.

1.2. Tryout Events:

- 1.2.1. Provide the event names, dates and locations of all trials, events and camps to be used as part of the selection process.

The Qualifying Competition

- 1.2.2. Provide event names, dates, locations and description of how athletes qualify for the trials, events and camps listed above in 1.2.1. (if any).
  - (a) To qualify for the Qualifying Competition, an athlete must achieve an applicable Qualifying Competition time standard between November 29, 2018, and the entry deadline for the Qualifying Competition. The Qualifying Competition time standards can be found at [www.usaswimming.org](http://www.usaswimming.org).
  - (b) To qualify for the Qualifying Competition, an athlete must, at the beginning of the Qualifying Competition, satisfy the minimum eligibility requirements for an athlete to be considered for Nomination to the Team, which are set forth in Section 1.1 above.

- 1.3. Provide a comprehensive, step-by-step description of the method that explains how athletes will go through the selection process (include maximum Team size).

This Section 1.3 sets forth the steps by which Available Swimmers will be selected for Nomination to the Team. Although USA Swimming and the USOPC may announce during the Qualifying Competition that swimmers have “made the Team” or “qualified for the Team,” any such announcement is considered to be informal and subject to change. The process of determining who is eligible to be Nominated to the Team will take place on or before 9:00 p.m. CDT on June 20, 2021 ~~June 28, 2020~~, after completion of the Qualifying Competition. The results from the application of these Selection Procedures will be announced at approximately 10:00 p.m. CDT on June 20, 2021 ~~June 28, 2020~~, although USA Swimming retains the right to make changes to the results to address withdrawals, vacancies and applicable FINA invitations through 11:59 a.m. CDT on June 21, 2021 ~~June 29, 2020~~ (when the final nominations are forwarded to the USOPC). The specific steps for determining the athletes to be Nominated to the Team are as follows:

1.3.1 Team Size. The maximum allowable Team size is twenty-six (26) men and twenty-six (26) women. The actual Team size will be determined once these Selection Procedures have been implemented. For purposes of these Selection Procedures, the men's and women's components of the Team will be considered separately (except for applying the maximum number of Relay-Only Swimmers, as described in Section 1.3.6). USA Swimming is under no obligation to Nominate to the Team a full team of 26 athletes in each, or either, gender.

1.3.2 Qualifying Competition. Performances at the Qualifying Competition, from among those eligible to compete in the Qualifying Competition as provided in Section 1.2 above, will be used to determine the Team. Performances at the Qualifying Competition will be prioritized using the priority system described below. The best finishing Available Swimmers will be subject to the OQT and OST requirements, FINA’s invitation requirements, and the FINA limits placed on Relay-Only Swimmers, all as described below, to determine those to be Nominated to the Team.

1.3.3 Voluntary Withdrawal. Any athlete may choose to voluntarily withdraw from one or more Events at the Olympic Games or from the Team altogether. An athlete choosing to voluntarily withdraw from, or consideration for, an Event at the Olympic Games or from the Team must do so in writing and is strongly encouraged to submit a written letter to the National Team Managing Director by 8:00 p.m. CDT on June 21, 2021 ~~28, 2020~~.

1.3.4 Application of Priorities for Team Selection. Performances in the individual Events at the Qualifying Competition will be prioritized for each gender as described in this Section 1.3.4. These Priorities will be applied on or before 9:00 p.m. CDT on June 20, 2021 ~~28, 2020~~, after completion of the Qualifying Competition. Swimmers not qualifying via one of these priorities will not be eligible to be Nominated to the Team, unless they are subsequently added to the Team as a replacement according to the process set forth in Section 4. If, after applying the priorities set forth below, as well as the procedures set forth in Sections 1.3.5 and 1.3.6, there are fewer than twenty-six (26) men or twenty-six (26) women, or both, on the Team, then USA Swimming will not field a full Team, unless the Team is filled as a result of adding one or more replacements as provided in Section 4.

- (a) Priority #1. The first priority will be comprised of both (i) the four best finishing Available Swimmers based on finish order during the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle Events, and (ii) the best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the individual Events other than the 100-meter and 200-meter freestyle.
- (b) Priority #2. The second priority will be comprised of the second best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the individual Events other than the 100-meter and 200-meter freestyle.
- (c) Priority #3. The third priority will be comprised of the fifth best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle.
- (d) Priority #4. The fourth priority will be comprised of the sixth best finishing Available Swimmer based on finish order during the Finals of the Qualifying Competition in each of the 100-meter and 200-meter freestyle.

#### 1.3.5 Application of OQTs and OSTs.

- (a) Subject to the application of the priorities described in Section 1.3.4 above and the other rules set forth in this Section 1.3.5, it is generally anticipated that the two best finishing Available Swimmers in each individual Event at the Qualifying Competition will qualify for the Team and will be given the opportunity to swim the corresponding individual Event at the Olympic Games (for example, the top two 200-meter butterfly finishers at the Qualifying Competition will swim the 200-meter butterfly at the Olympic Games). However, FINA has a requirement that, in order for two U.S. athletes to compete in an individual Event at the Olympic Games, both athletes must have swum an OQT in a competition approved for that purpose by FINA. Note: the OQT does not have to be done at the Qualifying Competition but must be done during the March 1, 2019 – June ~~27, 2021~~ ~~29, 2020~~, timeframe in a competition approved for that purpose by FINA. The applicable FINA qualifying procedures are set forth in Attachment A.
- (b) If the best finishing athlete in a particular Event does not have an OQT, but has an OST, he or she must be invited by FINA to participate at the Olympic Games. For purposes of clarification, if the best finishing Available Swimmer in an Event at the Qualifying Competition has an OST, and the second best finishing Available Swimmer has an OQT, only the best finishing Available Swimmer finisher will be Nominated to the Team and will swim that Event at the Olympic Games, if invited by FINA, while the second best finishing Available Swimmer would not be Nominated to the Team for that Event nor would he or she swim in that Event at the Olympic Games.

- (c) If a swimmer who stands to be Nominated to the Team has not received an invitation from FINA to participate in the Olympic Games on or before July 4, 2021 ~~July 6, 2020~~, then that swimmer shall not be eligible for Nomination to the Team in that Event and the next best finishing Available Swimmer(s) will be selected according to the process set forth in Section 1.3.4 above.
  
- (d) If the second best finishing athlete in an Event at the Qualifying Competition does not achieve an OQT in a competition approved for that purpose by FINA by the June 27, 2021 ~~29, 2020~~ deadline, the next best finishing Available Swimmer in that Event at the Qualifying Competition will be eligible for Nomination to the Team in place of the athlete who does not have the OQT, provided that the replacement athlete has achieved an OQT and satisfies all other requirements for Nomination to the Team. If the athlete who stands to be replaced has qualified to be Nominated to the Team in another Event and he or she has achieved the OQT in that other Event, then he or she will not be removed from Nomination to the Team (unless required under another provision of these Selection Procedures) but will be removed from the Event in which he or she has not achieved the required OQT. If the next best finishing Available Swimmer does not have an OQT in a competition approved for that purpose by FINA, then the subsequent best finishing Available Swimmer in that Event at the Qualifying Competition will be Nominated to the Team in place of the athlete(s) who do not have the OQT, provided that the replacement athlete has an OQT and satisfies all other requirements for Nomination to the Team. This process will repeat until an Available Swimmer who has an OQT is eligible for Nomination to the Team or there are no other Available Swimmers who may be eligible for Nomination to the Team in that Event. If there are no other Available Swimmers with an OQT from a competition approved by FINA for that purpose, then USA Swimming will only Nominate one Available Swimmer to the Team in that Event.

#### 1.3.6 Selection of Relay-Only Swimmers.

USA Swimming is limited to a total of 12 (combined between men and women) Relay-Only Swimmers on the Team (see Attachment A for the language of this rule). If, after applying the procedures set forth in Sections 1.3.4 and 1.3.5 above, there are more than 12 Relay-Only Swimmers who stand to be Nominated to the Team, then USA Swimming will use the process set forth in this Section 1.3.6 to determine who will be Nominated to the Team.

- (a) **Ranking Process.** In the event that more Relay-Only Swimmers stand to be Nominated to the Team than allowed under FINA rules, the Relay-Only Swimmers will be ranked within their priority according to the Modified World Ranking list. Relay-Only Swimmers with the best Modified World Ranking will be eligible for Nomination to the Team in order of priority. Relay-Only Swimmers will be added to the list of those to be Nominated to the



Team in order of priority until the maximum number of Relay-Only Swimmers (under the FINA rule) is obtained or the Team is full, whichever comes first. [Note: Per FINA rules, Swimmers who qualify for an individual Event and as a Relay-Only Swimmer are not considered Relay-Only swimmers.]

Relay-Only Swimmers must meet OST Requirement. Per FINA rules, only those Relay-Only Swimmers who have satisfied the OST standard for the Event in which they have qualified for the Team will be eligible for Nomination to the Team. The OST must be achieved in the Event through which he or she qualifies for the Team during the applicable time period established by FINA (March 1, 2019 – June ~~29, 2020~~ 27, 2021) at a competition approved by FINA for that purpose (FINA-approved competitions can be found at [fina.org](http://fina.org)). See Attachment A for applicable OSTs. Any Relay-Only Swimmer who would otherwise stand to be Nominated to the Team who fails to satisfy the OST requirement will not be eligible to be Nominated to the Team.

#### 1.3.7 Procedure if Nominations to the Team Stand to Exceed 26 Per Gender.

If the application of the procedures set forth in Sections 1.3.4 and 1.3.5 results in a list of either more than twenty-six (26) male or more than twenty-six (26) female swimmers (or both) to be Nominated to the Team, then the swimmers of the gender with more than 26 swimmers to be Nominated to the Team shall be ranked and Nominated to the Team according to the following procedure:

- (a) All swimmers from Priority #1 will be Nominated to the Team.
- (b) All swimmers from Priority #2 will be Nominated to the Team; provided, however, that if adding all swimmers from Priority #2 would result in more than twenty-six (26) swimmers for a gender to be Nominated to the Team, then the swimmers within Priority #2 will be Nominated to the Team in order of the best Modified World Ranking for the Event for which they qualify until a maximum of twenty-six (26) swimmers for that gender are Nominated to the Team.
- (c) If all Priority #2 swimmers are Nominated to the Team and the maximum Team size of twenty-six (26) swimmers per gender has not been attained, then the same procedure of using the best Modified World Rankings will be used to rank the swimmers from Priority #3, and Priority #3 swimmers will be Nominated to the Team in order of their Modified World Rankings until the team is full. If, after adding Priority #3 swimmers to the list of those to be Nominated to the Team, the Team is not full, then Priority #4 swimmers will be Nominated to the Team in order of their Modified World Rankings until the team is full, or until the list of Available Swimmers from Priority #1 through Priority #4 has been exhausted.

### 1.3.8 Breaking a Tie.

- (a) Tie in Same Event. In the instance where there is a tie between two swimmers in the same Event for first place, both swimmers will be classified under Priority #1. In the instance where there is a tie between three or more swimmers for first place in the same Event or where there is a tie between two or more swimmers in the same Event for second place, a swim-off will be conducted at a time determined by the National Team Managing Director, the Technical Advisor, if applicable, the swimmers, and the personal coaches of the swimmers. If the parties are unable to agree on a date and time for the swim-off, then the date and time will be established by the National Team Managing Director. If the initial swim-off fails to break the tie, this process will continue until the tie is broken. The swimmers will be assigned to their respective Priorities based on their finish in the swim-off(s). The swim-off results will only be used to determine places of those involved in the swim-off and may not be used to change the finish order otherwise determined at the Qualifying Competition (for example, the winner of the swim-off between the second and third place finishers at the Qualifying Competition may not use his/her time in the swim-off to move to Priority #1 if the swim-off time is better than the time of the winner of the Qualifying Competition). The swim-off process must be completed by 11:59 p.m. CDT June ~~20, 2021~~ 28, 2020.
- (b) Tie in Determining Nominations to Team Involving Swimmers from Different Events. In the instance where the application of Modified World Rankings is used to rank swimmers to be Nominated to the Team (e.g., Sections 1.3.7(b)-(c)), and where the application of the Modified World Rankings results in a tie between two or more swimmers in different Events for the final position(s) on the Team, the tie will be broken as follows:
- (i) Each swimmer's fastest performance swum in his/her Event at the Finals of the Qualifying Competition will be ranked according to the FINA Points Table for ~~2021~~ 2020. The swimmer who has the highest ranking will be Nominated to the Team.
- (ii) If this fails to break the tie, each swimmer's performance swum in his/her Event at the Finals of the Qualifying Competition will be converted into a ratio, using the swimmer's Qualifying Competition time as the denominator, and the FINA-approved World Record for that Event as of June ~~7, 2021~~ 14, 2020, as the numerator, and the swimmer(s) with the highest percentage will be Nominated to the final position(s) on the Team. A World Record set at the Qualifying Competition would not qualify as a FINA-approved World Record because the record would not yet be officially approved by FINA.
- (iii) If this fails to break the tie, the fastest time swum by each swimmer in his/her Event during the period from March 1, 2019, through June 21, 2021

~~29, 2020~~, will be ranked according to the Modified World Ranking lists, and the swimmer(s) will be Nominated to the Team in order of the best ranking.

(iv) If this fails to break the tie, then the swimmer with the highest Modified World Ranking in an Event other than his/her Event involved in the tie will be Nominated to the Team (e.g., if a swimmer's results in the 100-meter freestyle are involved in the tie, then that swimmer's highest Modified World Ranking in an Event other than the 100-meter freestyle shall be considered).

(v) If this fails to break the tie, each swimmer's fastest performance swum in an Event at the Finals of the Qualifying Competition other than the Event that is the subject of the tie will be ranked according to the FINA Points Table for ~~2021 2020~~. The swimmer who has the best ranking will be Nominated to the Team. If only one swimmer involved in the tie swam a second Event at the Qualifying Competition, then that swimmer will be Nominated to the Team.

Announcement. Those officially Nominated to the Team will be announced at approximately 10:00 p.m. CDT on ~~June 20, 2021 June 28, 2020~~, after completion of the Qualifying Competition.

#### 1.3.9 Selection of Swimmers for Events.

- (a) The two best finishing Available Swimmers in all individual Events at the Qualifying Competition will be given the opportunity to swim the corresponding individual Event at the Olympic Games (for example, the top two 200-meter butterfly finishers at the Qualifying Competition will swim the 200-meter butterfly at the Olympic Games), provided that (i) both swimmers have been Nominated to the Team pursuant to the procedures set forth in this Section 1.3; (ii) both swimmers satisfy the applicable OQT requirement for that Event; and (iii) both swimmers remain eligible, under these Selection Procedures, through the completion of that Event at the Olympic Games.
- (b) If the best finishing swimmer does not achieve the OQT in an individual Event, but achieves an OST, he or she must be formally invited to participate in the Olympic Games by FINA on or before ~~July 4, 2021 July 6, 2020~~, before being considered an Available Swimmer. If such swimmer receives an invitation from FINA, then he or she will be Nominated to the Team, and there will not be a second U.S. swimmer in that Event. If such swimmer does not receive an invitation from FINA on or before ~~July 4, 2021 July 6, 2020~~, then he or she will not be considered an Available Swimmer and the priorities set forth in Section 1.3.4 will be applied to the next best finishing Available Swimmers.
- (c) If the best finishing Available Swimmer has achieved the OQT in an individual Event but the second best finishing Available Swimmer has not achieved the OQT in that Event either at the Qualifying Competition or at any other

competition approved for that purpose by FINA from March 1, 2019, through June ~~27, 2021~~ ~~29, 2020~~, then the second best finishing Available Swimmer will not swim in that Event (and will not be Nominated to the Team – see Section 1.3.5), and the second spot will be filled according to the process set forth in Section 1.3.5.

- (d) If a Priority #2 swimmer fails to make the Team because the Team is full, then the swimmer already on the Team who has recorded the fastest time in that vacant Event during the period from March 1, 2019, through June ~~27, 2021~~ ~~29, 2020~~, will serve as the replacement in that Event, provided that he or she has achieved the OQT in that Event.
- (e) If there is a vacancy in an Event that arises on or after 11:59 a.m. CDT on June ~~21, 2021~~ ~~29, 2020~~, the replacement process set forth in Section 4 will be used to fill the vacancy in that Event.
- (f) All swimmers who are Nominated to the Team shall be eligible for selection to relays to be held at the 2020 Olympic Games, provided that Relay – Only Swimmers must achieve the OST for the event within the relay in which they will be selected to compete. (Example: the Relay-Only Swimmer in the 4 x 200-meter Freestyle Relay must have an OST in the 200-meter Freestyle). The Head Coaches, National Team Managing Director and Technical Advisor, if applicable, in consultation with the assistant coaches, shall select the swimmers for each relay conducted at the 2020 Olympic Games. In selecting the relay teams, the Head Coaches, National Team Managing Director, Technical Advisor, if applicable, and assistant coaches shall consider all available relevant information, in no particular order, including but not limited to each Team member's Qualifying Competition performances (including preliminary times, time trials, swim-offs and relay lead-off times), past relay performances, past relay reaction times, relay exchange experience, 2020 Olympic Games performances, personal best performances, 2020 Olympic Games event schedules, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, participation in relay training camps, scientific evaluation, attitude and team chemistry. According to the FINA-approved qualification methods for the 2020 Olympic Games, all Relay-Only swimmers must swim in either the preliminary heat or the final of the relay Event for which they have been selected, otherwise the relay will be disqualified.

## 2. DISCRETIONARY SELECTION (if applicable)

2.1. Provide rationale for utilizing discretionary selection (if any):

Discretionary criteria will be used to select the swimmers, who have already been selected to the Team, for each relay at the Olympic Games. See Section 1.3.9.f. for details.

- 2.2. List the discretionary criteria and explain how they will be used (if any):

No discretionary criteria will be used to select the Team.

Discretionary criteria will be used to select the swimmers, who have already been selected to the Team, for each relay at the Olympic Games. See Section 1.3.9.f. for details.

- 2.3. Provide the name of the committee that will be responsible for making discretionary selections, along with a complete list of the members' titles currently serving on the committee:

See Section 1.3.9.f.

- 2.3.1. Regarding relay assignment, if the National Team Managing Director, Technical Advisor if applicable, or any of the Head Coaches or Assistant Coaches has a possible conflict of interest, he or she must disclose it. If such conflict exists, the selection committee member must recuse him/herself from committee discussions and voting. Further, the committee member should not otherwise influence other members of the committee in the selection process. However, a committee member who recused him/herself, but who has relevant and necessary information with respect to athlete performance, for example a national team coach or high performance director, may, if requested by the selection committee, provide such information to the committee so long as such information is provided in a fair and unbiased manner and the committee member who declared the conflict of interest does not vote toward the final decision.

### 3. REMOVAL OF ATHLETES

- 3.1. Prior to entry by name (July 5, 2021 ~~6, 2020~~) to the Local Organizing Committee by the USOPC, USA Swimming has jurisdiction over potential nominees.

An athlete who is to be Nominated to the Team by USA Swimming may be removed for any of the following reasons, as determined by USA Swimming:

- 3.1.1. Voluntary withdrawal. Athlete must submit a written letter to the USA Swimming CEO or National Team Managing Director. An athlete considering withdrawal is strongly encouraged to submit the written withdrawal by 8:00 p.m. CDT on June 20, 2021 ~~28, 2020~~.

- 3.1.2. Injury or illness as certified by a physician (or medical staff) approved by USA Swimming. If an athlete refuses verification of his/her illness or injury by a physician (or medical staff) approved by USA Swimming, his/her injury will be assumed to be disabling and he or she may be removed.
- 3.1.3. Failure to participate in Mandatory Training and/or Competition as defined in Section 9 of these procedures.
- 3.1.4. Violation of USA Swimming's Code of Conduct or Honor Code (will be made available prior to the Qualifying Competition).
- 3.1.5. An athlete may be removed if the National Team Managing Director, in consultation with the Head Coaches, the Technical Advisor, ~~if applicable, the USA Swimming Steering Committee Chair, or their designee,~~ and USA Swimming's ~~representative to the USOPC AAC National Team Athlete's Committee Chair,~~ determines that the swimmer is unable or unwilling to maintain a training regimen that is necessary for peak performance in the 2020 Olympic Games. (See Participation and Commitment Agreement, which is included in Attachment B.)

An athlete who is removed from the Team pursuant to this provision has the right to a hearing per USA Swimming's ~~Rulebook Bylaws~~ (<https://www.usaswimming.org/utility/landing-pages/governance-lsc/rules-regulations>) and the USOPC's Bylaws, Section 9.

- 3.2. Once athlete entries have been submitted to the Local Organizing Committee by the USOPC (July ~~5, 2021~~ ~~6, 2020~~), the USOPC has jurisdiction over the Team, at which time, in addition to any applicable USA Swimming Code of Conduct, the USOPC's Code of Conduct and Grievance Procedures apply. The USOPC's Code of Conduct and Grievance Procedures can be found at:
  - ~~<http://www.teamusa.org/Athlete-Resources/Athlete-Ombudsman/Resources/Code-of-Conduct>~~
  - <http://www.teamusa.org/Footer/Legal/Governance-Documents>
  - ~~[www.teamusa.org/Athlete-Ombudsman/Games-and-Competition](http://www.teamusa.org/Athlete-Ombudsman/Games-and-Competition)~~
- 3.3. An athlete may be removed as a Nominee to the Team or from the Team for an adjudicated violation of IOC, FINA, WADA, USADA and/or USOPC anti-doping protocol, policies and procedures, ~~as well as the U.S. Center for Safe Sport Code, the USOPC Athlete Safety Policy and USA Swimming's Safe Sport policies,~~ as applicable.
  - 3.3.1. If a swimmer is alleged to have committed an anti-doping rule violation, that swimmer will remain on the Team (or remain eligible to be Nominated to the Team) until: (i) the swimmer is provisionally suspended or declared ineligible after a hearing conducted pursuant to the U.S. Anti-Doping Agency Protocol for Olympic Movement Testing or by FINA; (ii) the swimmer voluntarily accepts a provisional suspension or sanction of ineligibility; or (iii) the swimmer

voluntarily withdraws from the Team or relinquishes eligibility to be Nominated to the Team

#### 4. REPLACEMENT OF ATHLETES

4.1. Describe the selection and approval process for determining replacement athlete(s) should a vacancy occur:

4.1.1. Prior to submission of Entries by Name (July ~~5, 2021~~ ~~6, 2020~~) to the Local Organizing Committee, including any applicable group or committee:

- (a) Except as otherwise provided herein, in order for an athlete who has not been Nominated to the Team to be considered as an Eligible Replacement Swimmer, he or she must, by 11:59 a.m. CDT on June ~~21, 2021~~ ~~29, 2020~~, sign a USA Swimming form confirming that he or she wants to be considered as an Eligible Replacement Swimmer if the opportunity arises, which includes, but is not limited to, the requirement that he or she maintain a training regimen to ensure peak competitive fitness and provide regular documentation of such training regimen to the National Team Managing Director, along with certification from his/her personal coach that he or she has completed the training regimen described in the documentation. Failure to comply with all of the applicable requirements for an Eligible Replacement Swimmer will make an athlete ineligible to be added to the Team as a replacement, and the athlete will not be considered an Eligible Replacement Swimmer.
- (b) Further, an Eligible Replacement Swimmer must satisfy the applicable OQT or OST, as described in Section 1.3.5 and is subject to the limitation on the number of Relay-Only Swimmers as described in Section 1.3.6 and the limitations set on the size of the male or female Teams, as provided in Section 1.3.1, before he or she can be Nominated to the Team.
- (c) If there is a vacancy in an Event that occurs between 11:59 a.m. CDT on June ~~21, 2021~~ ~~30, 2020~~, through 8 p.m. Tokyo time on July ~~5, 2021~~ ~~6, 2020~~, USA Swimming cannot automatically add anyone to the Team without the written approval of the USOPC, and possibly FINA, if an invitation is required.
- (d) If the USOPC approves, in writing, to the addition of a swimmer to the Team for an Event by no later than 8:00 p.m. Tokyo time on July ~~5, 2021~~ ~~6, 2020~~, then the following procedure will be used; however, **no swimmer who is already on the Team may be taken off the Team as a result of the application of this procedure:**

- a. Filling Vacant Event Positions with Team Vacancy.
  - i. Filling Vacant Event Positions in All Events Other Than 100-meter and 200-meter Freestyle.
    - 1. For all Events except the 100-meter or 200-meter Freestyle events, if the Team is not full (fewer than twenty-six (26) swimmers per gender) after a swimmer declines a position in an Event for any reason, or Event positions otherwise become vacant, then the vacancy in any such Event(s) will be filled by the next fastest Eligible Replacement Swimmer in that Event. If the next fastest Eligible Replacement Swimmer is already on the Team, and he or she agrees after consulting with the Head Coach, National Team Managing Director, and Technical Advisor, if applicable, to swim in the additional Event, then he or she will serve as the replacement in that Event. If he or she declines to swim in the additional Event, then he or she will not be considered an Eligible Replacement Swimmer for that Event, and the next fastest Eligible Replacement Swimmer will be considered. If the next Eligible Replacement Swimmer is not already on the Team, then that swimmer will be selected according to Section 1.3.4. If adding the next Eligible Replacement Swimmer from each Event left vacant would cause the Team to exceed the maximum number (twenty-six (26) per gender), then those who qualify as the next fastest Eligible Replacement Swimmer in the Events left vacant will be ranked according to the procedure described in Section 1.3.8(b). Those ranked highest according to that procedure will be added to the Team in order until the Team reaches twenty-six (26) per gender, and any remaining Event vacancy will be filled from within the Team pursuant to Section 4.1.1(c)(iii) below.
  - ii. Filling Vacant Positions in 100-meter or 200-meter Freestyle with Team Vacancy.
    - 1. If a vacancy occurs in the 100-meter or 200-meter Freestyle individual Event, the next highest Eligible Replacement Swimmer already on the Team will compete in the individual Event, provided that he or she has satisfied the OQT (and the highest-finishing Available Swimmer has also met the OQT) as well as any other applicable requirements set forth in these



Selection Procedures, and no additional swimmer will be added to the Team. If the vacancy occurs due to the withdrawal of a Relay-Only Swimmer, then a replacement will be selected according to the procedures set forth in Section 4.1.1(d)(iv) below.

- iii. Filling Vacant Event Position with No Team Vacancy.
  1. If the Team is still full (twenty-six [26] per gender) after the withdrawal from an Event, the vacancy in any Event(s) will be filled by an Available Swimmer already on the Team. First priority will be given to the next highest place finisher at the Qualifying Competition. If no swimmer already on the Team swam the Event at the Qualifying Competition, then the Available Swimmer on the Team with the highest World Ranking in the Event will be selected; provided, however, that the replacement swimmer must agree, after consulting with the Head Coach, National Team Managing Director, and Technical Advisor, if applicable, to compete in the additional Event. If the replacement swimmer does not agree to swim in the additional Event, then this process will repeat until the vacant Event is filled.
  
- iv. Relay-Only Swimmer vacancy.
  1. If the vacancy occurs because a Relay-Only Swimmer is no longer on the Team or withdraws from a position in a relay Event, then the following procedure will be used:
    - a. If there is a vacancy available for a Relay-Only Swimmer pursuant to Sections 1.3.1 and 1.3.6 above, then the next best finishing Eligible Replacement Swimmer from the Event in which the vacating swimmer qualified for the Team will be added to the Team. For example, if only five Relay-Only Swimmers were on the Team, and if the sixth-place finisher in the 100-meter freestyle were to leave the Team, then the seventh-place finisher in the 100-meter freestyle would be added to the Team, provided that he or she is an Eligible Replacement Swimmer.
    - b. If there are no vacancies available for Relay-Only Swimmers pursuant to Sections 1.3.1

and 1.3.6 above, then no swimmers will be added to the Team.

4.1.2. After submission of Entries by Name (July ~~5, 2021~~ ~~6, 2020~~) to the Local Organizing Committee, including any applicable group or committee:

4.1.2.1. After July ~~5, 2021~~ ~~6, 2020~~, no swimmers will be added to the Team, and the Available Swimmer already on the Team who has recorded the fastest time in a vacant Event during the period from March 1, 2019 through June ~~27, 2021~~ ~~29, 2020~~, will serve as the replacement in that Event; provided, however, that the replacement must agree, after consulting with the Head Coach, National Team Managing Director and Technical Advisor, if applicable, to compete in the vacant Event. If the replacement does not agree to swim in the vacant Event, then this process will repeat until the vacant Event is filled.

4.1.2.2. The FINA Technical Meeting for swimming at the Olympic Games is scheduled to be held on the morning (Tokyo time) of July ~~22, 2021~~ ~~(to be confirmed)~~ ~~23, 2020~~. Once that Technical Meeting has been completed, FINA historically has not allowed replacements in Events. Therefore, it is highly likely that no replacements will be allowed in any Event once the FINA Technical Meeting is completed.

## 5. SUPPORTING DOCUMENTS

USA Swimming will retain the approved Selection Procedures and all supporting documents, including scouting or evaluation forms, etc., and data from the selection process for six months past the date of the Closing Ceremony of the Games.

## 6. REQUIRED DOCUMENTS

In addition to the USOPC Code of Conduct, the following documents are required to be signed by an athlete as a condition of nomination to the Olympic Team:

~~[www.teamusa.org/Athlete-Resources/Athlete-Ombudsman/Games-Info/Athlete-Conduct](http://www.teamusa.org/Athlete-Resources/Athlete-Ombudsman/Games-Info/Athlete-Conduct)~~  
~~[www.teamusa.org/Athlete-Ombudsman/Games-and-Competition](http://www.teamusa.org/Athlete-Ombudsman/Games-and-Competition)~~

USA Swimming documents will be made available prior to the Qualifying Competition.

## 7. PUBLICITY/DISTRIBUTION OF PROCEDURES

The USOPC approved Selection Procedures (complete and unaltered) will be posted/published by USA Swimming in the following locations:

7.1. USA Swimming website: [www.usaswimming.org/meet-the-team/national-team](http://www.usaswimming.org/meet-the-team/national-team)

These procedures will be posted as soon as possible, but not more than five business days following notice of approval by the USOPC.

## 8. DATE OF NOMINATION

The Nomination of Athletes form, including replacements, will be announced to all athletes and submitted to the USOPC on or before: June ~~21, 2021~~ ~~29, 2020~~.

## 9. MANDATORY TRAINING AND/OR COMPETITION

Specify the location, schedule and duration of mandatory training and/or competition:

<u>Event</u>	<u>Date</u>	<u>Site</u>
<u>Training Camp 1</u>	<u>June 27- July 8, 2021</u>	<u>Palo Alto, CA</u>
<u>Training Camp 2</u>	<u>July 9-20, 2021</u>	<u>Singapore</u>
<u>International Training</u>	<u>July 21-23, 2021</u>	<u>Tokyo, Japan</u>
<u>Opening Ceremonies</u>	<u>July 23, 2021</u>	<u>Tokyo, Japan</u>
<u>Competition</u>	<u>July 24- Aug. 2, 2021</u>	<u>Tokyo, Japan</u>
<u>Return home</u>	<u>various times</u>	

<del>Training Camp 1</del>	<del>July 5-9, 2020</del>	<del>Palo Alto, CA</del>
<del>Training Camp 2</del>	<del>July 11-21, 2020</del>	<del>TBD</del>
<del>International Training</del>	<del>July 21-24, 2020</del>	<del>Tokyo, Japan</del>
<del>Opening Ceremonies</del>	<del>July 24, 2020</del>	<del>Tokyo, Japan</del>
<del>Competition</del>	<del>July 25- Aug. 3, 2020</del>	<del>Tokyo, Japan</del>
<del>Return home</del>	<del>various times</del>	

## 10. ANTI-DOPING REQUIREMENTS

Athletes must adhere to all IOC, FINA, WADA, USADA and USOPC anti-doping protocols, policies and procedures, as applicable. This includes participation in Out-of-Competition Testing as required by the IOC, FINA, WADA, USADA and USOPC Rules, as applicable.

## 11. DEVELOPMENT OF SELECTION PROCEDURES

Lindsay Mintenko, National Team Managing Director, USA Swimming  
Mike Unger, Chief Operating Officer, USA Swimming  
Natalie Coughlin, USOPC Athletes' Advisory Council Representative for USA Swimming  
Lucinda McRoberts, ~~Chief Administrative Officer & General Counsel~~ ~~General Counsel & VP of Business Affairs~~, USA Swimming

## 12. NGB/HPMO BYLAWS AND GRIEVANCE PROCEDURES

The USA Swimming Bylaws and Grievance Procedures can be found at:  
[www.usaswimming.org](http://www.usaswimming.org).

### 13. INTERNATIONAL DISCLAIMER

These procedures are based on IOC, USOPC, and/or FINA rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in IOC, USOPC, and/or FINA rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to USA Swimming. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the alteration or cancellation of any of the selection events listed in this document, these selection procedures will be revised, pursuant to their resubmission to the USOPC.

The terms and conditions of these written Selection Procedures shall take priority over any verbal explanation, clarification or interpretation of the Selection Procedures, and an athlete relies on a verbal explanation, clarification or interpretation of these Selection Procedures at his or her sole risk. Any request or question that would involve a formal clarification or interpretation of these Selection Procedures, or that would address an issue not otherwise covered in these Selection Procedures, should be submitted to the National Team Managing Director at USA Swimming in writing by no later than December 31, ~~2020~~ 2019, and the response to such request by USA Swimming must be provided in writing and, where appropriate, must be published promptly on the USA Swimming website. Any change to these Selection Procedures must first be approved by the USOPC.

An athlete who is Nominated to the Team by virtue of an error or oversight by USA Swimming may be removed from the Team at any time.



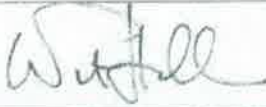
### 14. ATHLETE OMBUDSMAN

Athletes who have questions regarding their opportunity to compete that are not answered by USA Swimming may contact the USOPC Athlete Ombudsman, ~~Kacie Wallace~~, by:

- Telephone at (719) 866-5000
- Email at [ombudsman@usathlete.org](mailto:ombudsman@usathlete.org) ~~Kacie.wallace@usoc.org~~
- [www.usathlete.org](http://www.usathlete.org) ~~http://www.teamusa.org/Athlete-Resources/Athlete-Ombudsman~~

**15. NGB/HPMO SIGNATURES**

I certify that I have read and understand the standards/criteria set by our IF and/or CF (PAG/PPAG only) and incorporated those standards/criteria into our Selection Procedures. I further certify that the information provided herein regarding Athlete Selection Procedures represents the method approved by USA Swimming.

Position	Print Name	Signature	Date
NGB/HPMO President or CEO/Executive Director	Tim Hinchey		9/16/20
Nat. Team Coach, Head Coach, or Nat. Program Director	Lindsay Minkenko		9/16/20
USOPC Athletes' Advisory Council Representative*	Natalie Coughlin Hall		9/16/2020

\* If the USOPC AAC Representative has delegated authority to the Alternate AAC Representative to sign the Selection Procedures, attach a letter from the AAC Representative indicating the reason he or she has delegated authority.

\* Signature by the Athlete Representative constitutes that he or she has read and understands the Selection Procedures. If the Athlete Representative reads and does not agree with the Athlete Selection Procedures being submitted by the NGB/HPMO, he or she may submit those reasons in writing to his or her Sport Performance Team.

\* If, for some reason, a sport does not have an elected USOPC AAC Representative, the NGB/HPMO must designate an athlete from that sport to review and sign the Selection Procedures.

ATTACHMENT A  
FINA Qualification system



QUALIFICATION SYSTEM – GAMES OF THE XXXII OLYMPIAD – TOKYO 2020

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**INTERNATIONAL SWIMMING FEDERATION (FINA)**  
**Swimming**

**For the most up-to-date version, go to:**

**<http://fina.org/event/swimming-qualification-events>**

ATTACHMENT B

# USA SWIMMING

## 2020 OLYMPIC TEAM PARTICIPATION AND COMMITMENT

I agree to abide by the Olympic Team USA Swimming Athlete Selection Procedures, Olympic Team camps(s) and competition requirements, competition protocols, the Honor Code and the USA Swimming Code of Conduct.

I agree to fully commit to a comprehensive training program designed for a competitive performance at the 2020 Olympic Games. If for any reason I become unable to fulfill this commitment, I will immediately notify the National Team Managing Director.

I understand that I may be removed from the 2020 Olympic Team if circumstances interfere with my preparation for peak performance.

I give USA Swimming National Team Division permission to give out my contact information for Olympic Team Staff use:

\_\_\_\_\_ Yes \_\_\_\_\_ No

I give USA Swimming National Team Division permission to give out my contact information for internal USA Swimming Staff use: \_\_\_\_\_ Yes \_\_\_\_\_ No

\_\_\_\_\_ Signature \_\_\_\_\_ Printed Name \_\_\_\_\_  
Date

If under 18:

\_\_\_\_\_ Parent Signature \_\_\_\_\_ Parent Printed Name \_\_\_\_\_  
Date